

IS AXIAL $U(1)$ ANOMALOUS AT HIGH TEMPERATURE?

**HIDENORI FUKAYA (OSAKA UNIV.)
FOR JLQCD COLLABORATION**

DO YOU THINK AXIAL $U(1)$ ANOMALY CAN DISAPPEAR (AT FINITE T) ?

YES

$U(1)_A$ sym. may be at some
temperature.

NO

$U(1)_A$ is always broken.

DO YOU THINK AXIAL U(1) ANOMALY CAN DISAPPEAR (AT FINITE T) ?

Many of you would say “NO!”

with reasonable reasons:

Anomaly = symmetry breaking at cut-off.

Anomalous Ward-Takahashi identity

$$\langle \partial_\mu J_5^\mu(x) O(x') \rangle_{fermion} = \frac{1}{32\pi^2} \epsilon_{\mu\nu\rho\sigma} F^{\mu\nu} F^{\rho\sigma}(x) \langle O(x') \rangle_{fermion} + \langle \delta_A O(x) \rangle_{fermion} \delta(x - x')$$

holds **at any energy scale,**
and for **any gluon background.**

**DO YOU KNOW ANY OTHER
ANOMALY WHICH CAN DISAPPEAR ?**

YES

NO



DO YOU KNOW ANY OTHER ANOMALY WHICH CAN DISAPPEAR ?

The answer should be “YES”.

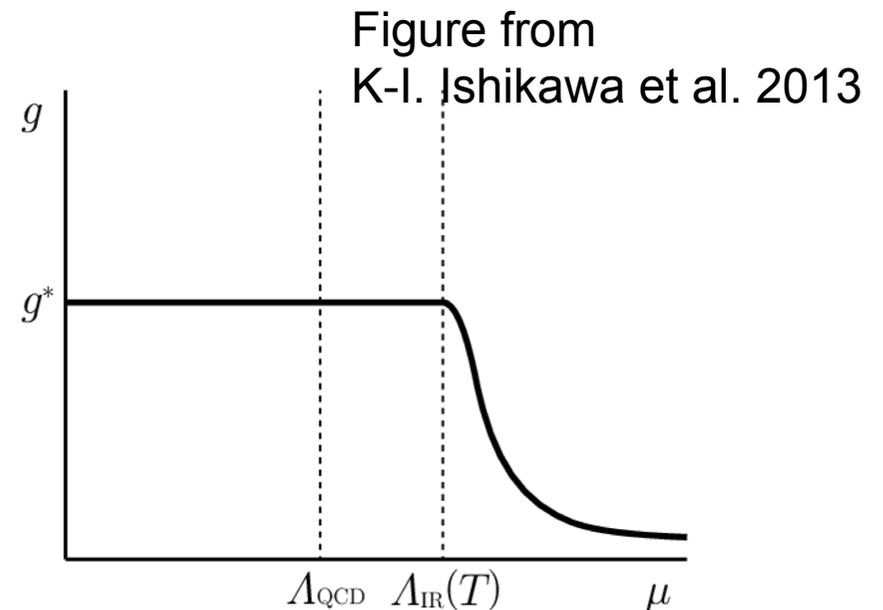
Conformal anomaly in massless QCD

can disappear at IR

by tuning N_f

(conformal window).

Which N_f ? → **nontrivial**.



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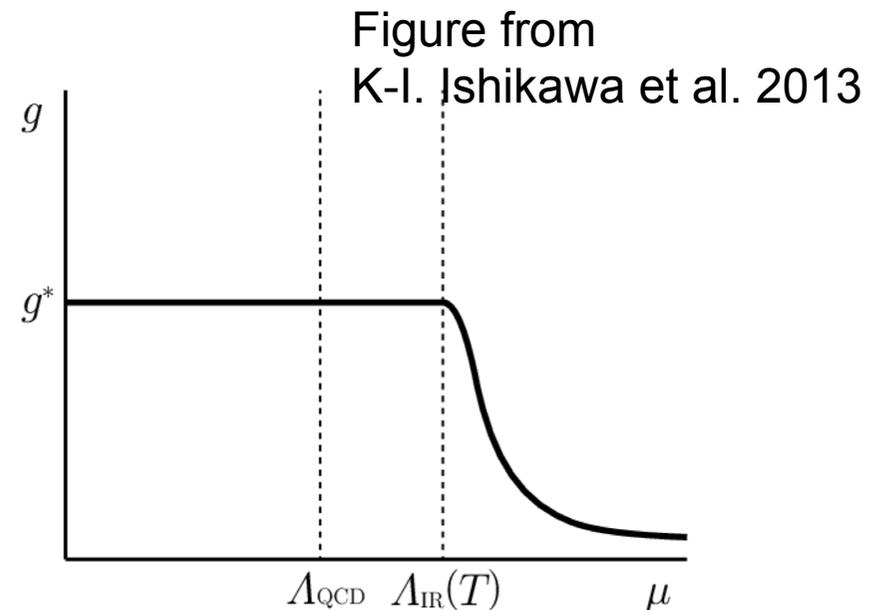
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Why not axial U(1) (by tuning T ?)

WE ARE BIASED BY

$$\begin{aligned} & \langle \partial_\mu J_5^\mu(x) O(x') \rangle_{fermion} - \langle \delta_A O(x) \rangle_{fermion} \delta(x - x') \\ &= \frac{1}{32\pi^2} \epsilon_{\mu\nu\rho\sigma} F^{\mu\nu} F^{\rho\sigma}(x) \langle O(x') \rangle_{fermion} \end{aligned}$$

MAIN MESSAGE OF THIS TALK

In high T QCD, whether

$$\begin{aligned} & \langle \langle \partial_\mu J_5^\mu(x) O(x') \rangle \rangle_{fermion} - \langle \delta_A O(x) \rangle_{fermion} \delta(x - x') \rangle_{gluons} \\ & = \left\langle \frac{1}{32\pi^2} \epsilon_{\mu\nu\rho\sigma} F^{\mu\nu} F^{\rho\sigma}(x) \langle O(x') \rangle_{fermion} \right\rangle_{gluons} = 0??? \end{aligned}$$

or not is **a non-trivial question**, which can only be answered by carefully integrating over **gluons** (by lattice QCD).

In particular, **good control of chiral symmetry (or continuum limit) is essential.**

CAN AXIAL U(1) BE A SYMMETRY AT FINITE T? (MANY ANSWERS)

Before 2012

Cohen 1996, 1998 (theory)
Bernard et al. 1996 (staggered)
Chandrasekharan et al. 1998 (staggered)
HotQCD 2011 (staggered)
Ohno et al. 2011 (staggered)
and many others

Red: YES

Blue: NO

Green: Not (directly)
answered but related

HotQCD 2012 (Domain-wall)

Aoki-F-Taniguchi 2012 (theory)

Ishikawa et al 2013, 2014, 2017. (Wilson)

JLQCD 2013, 2016 (overlap)

TWQCD 2013 (optimal DW)

LLNL/RBC 2013 (Domain-wall) [may be at higher T]

Pelisseto and Vicari 2013(theory)

Bonati et al. 2014, 2016(staggered)

Nakayama-Ohtsuki 2015, 2016(CFT)

Sato-Yamada 2015(theory),

Kanazawa & Yamamoto 2015, 2016 (theory)

Dick et al. 2015 (OV in HISQ sea)

Sharma et al. 2015, 2016 (OV in DW sea)

Glozman 2015, 2016 (theory)

Borasnyi et al. 2015 (staggered & OV)

Brandt et al. 2016 (Wilson)

Ejiri et al. 2016 (Wilson)

Azcoiti 2016, 2017(theory)

Gomez-Nicola & Ruiz de Elvira 2017 (theory)

After 2012

CONTENTS

- 1. Is $U(1)_A$ symmetry theoretically possible ?**
- 2. Lattice QCD at high T with chiral fermions**
- 3. Result 1: $U(1)_A$ anomaly**
- 4. Result 2: topological susceptibility**
- 5. Summary**

**$U(1)_A$ AND $SU(2)_L \times SU(2)_R$ SHARE
DIM \leq 3 ORDER PARAMETER(S).**

Among quark bi-linears $\langle \bar{q}\Gamma q(x) \rangle$

only $\langle \bar{q}q(x) \rangle$ can have a VEV :

No dim. \leq 3 operator breaks $U(1)_A$ without
breaking $SU(2)_L \times SU(2)_R$.

How about higher dim. operators ?

→ **our work [Aoki, F, Taniguchi 2012]**

DIRAC SPECTRUM AND SYMMETRIES

[Aoki-F-Taniguchi 2012]

$$\langle \bar{q}q \rangle = \lim_{m \rightarrow 0} \int d\lambda \rho(\lambda) \frac{2m}{\lambda^2 + m^2} = \pi \rho(0) \quad [\text{Banks-Casher 1980}]$$

Our idea = generalization of BC relation

to higher dim operators (dim=6 operators were done by T.Cohen 1996) :

$$\delta_{SU(2)} \left\langle \frac{1}{V^{N'}} \prod_i^N \left(\int dV \bar{q} \Gamma_i q \right) \right\rangle = 0 \quad \longrightarrow \quad \begin{array}{l} \text{Constraints on} \\ \lim_{m \rightarrow 0} \rho(\lambda) \end{array}$$

$$\longrightarrow \delta_{U(1)_A} \left\langle \frac{1}{V^{N'}} \prod_i^N \left(\int dV \bar{q} \Gamma_i q \right) \right\rangle = 0 \quad ???$$

[Aoki-F-Taniguchi 2012]

OUR RESULT 1 : MANY ORDER PARAMETERS ARE SHARED.

(under some “reasonable” assumptions)

Constraint we find

$$\lim_{m \rightarrow 0} \langle \rho(\lambda) \rangle = c |\lambda|^\gamma (1 + O(\lambda)), \quad \gamma > 2$$

is strong enough to show

$$\delta_{U(1)_A} \left\langle \frac{1}{V^{N'}} \prod_i^N \left(\int dV \bar{q} \Gamma_i q \right) \right\rangle = 0 \text{ for } \Gamma_i = \tau^a \text{ and } \gamma_5 \tau^a$$

for any N (up to 1/V corrections):

these order parameters are shared by $SU(2)_L \times SU(2)_R$ and $U(1)_A$.

OUR RESULT 2 : [Aoki-F-Taniguchi 2012]
**STRONG SUPPRESSION OF
TOPOLOGICAL SUSCEPTIBILITY**

We also find (in the thermodynamical limit)

$$\left(\frac{\partial}{\partial m} \right)^N \frac{\langle Q^2 \rangle}{V} = 0 \quad \text{for any } N,$$

which implies $\frac{\langle Q^2 \rangle}{V} = 0$ for $m <^{\exists} m_{cr}$

Also suggests 1st order chiral transition ?

(There's no symmetry enhancement at finite quark mass.)

WHAT WE MEAN BY $U(1)_A$ “SYMMETRY”

We call it “symmetry” if

$$\langle \text{any } U(1)_A \text{ breaking} \rangle = \frac{1}{V^\alpha}, \quad \alpha > 0$$

* The same as **conformal symmetry** at the IR fixed point.

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JLQCD FINITE T PROJECT (2009-)

Machines at KEK : Parallel talks

HITACHI SR16000



Y. Aoki [Mon, Nonzero-T]:
topology at finite T

K. Suzuki [Mon, Nonzero-T]:
U(1) anomaly at finite T

C. Rohrhofer [Wed, Nonzero-T]:
possible further symmetry
enhancement at finite T



IBM BG/Q

Simulation code = IroIro++

<https://github.com/coppolachan/IroIro>

JLQCD FINITE T PROJECT (2014-)

[JLQCD (Cossu et al.) 2015, JLQCD(Tomiya et al.) 2016]

We simulate **2-flavor** QCD.

1. **good chirality** :

Mobius domain-wall & **overlap fermion** w/ OV/DW
reweighting (frequent topology tunnelings)

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2. **different volumes** : L=16,32,48 (**2 fm-4 fm**).

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Other comments

$T= 190-330\text{MeV}$ (**$T_c\sim 180\text{MeV}$**) with $L_t=8,10,12$.

3-10 different quark masses (w/ reweighting).

long MD time 20000-30000 for reweighting.

VIOLATION OF CHIRAL SYMMETRY IN DOMAIN-WALL FERMIONS

[JLQCD (Cossu et al.) 2015, JLQCD(Tomiya et al.) 2016]

Examine GW relation for each eigen-mode of
Mobius domain-wall Dirac operator:

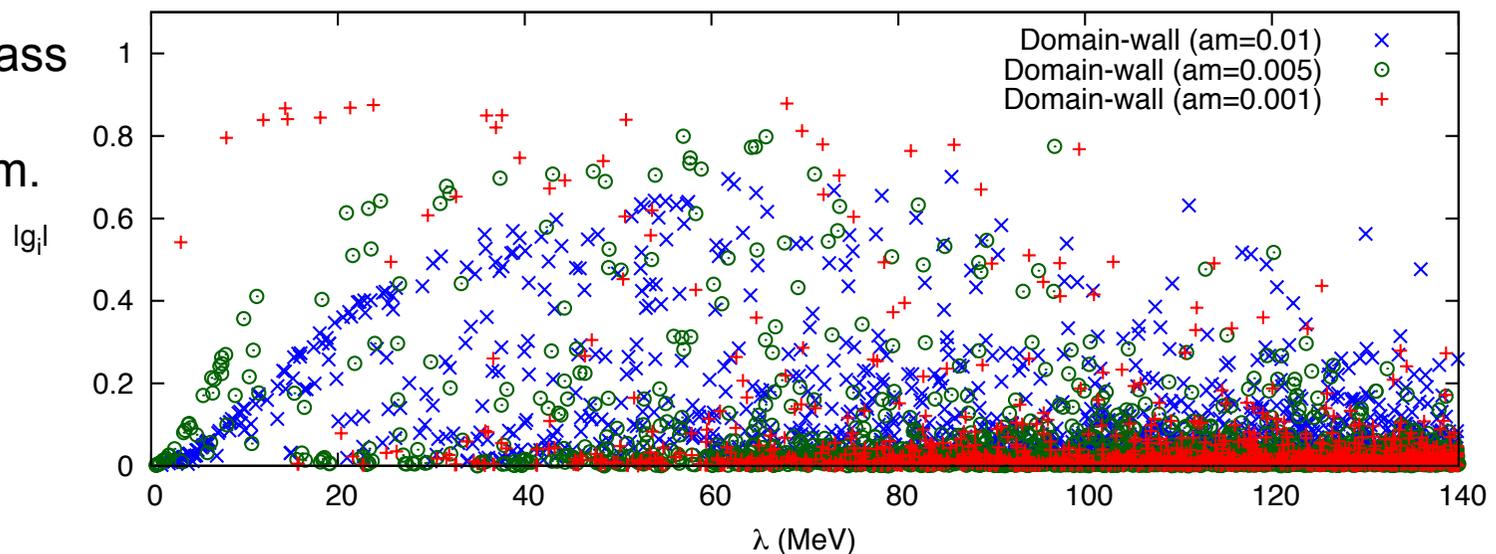
$$g_i = \left(v_i^\dagger, \frac{D\gamma_5 + \gamma_5 D - aRD\gamma_5 D}{\lambda_i} v_i \right)$$

→ **very bad modes appear above T_c ($\sim 180\text{MeV}$).**

Domain-wall, $L^3 \times L_t = 32^3 \times 8$, $T = 217\text{MeV}$ ($\beta = 4.10$)

Cf.) residual mass
is (weighted)
average of them.

For $T=0$, g_i are
consistent with
residual mass.



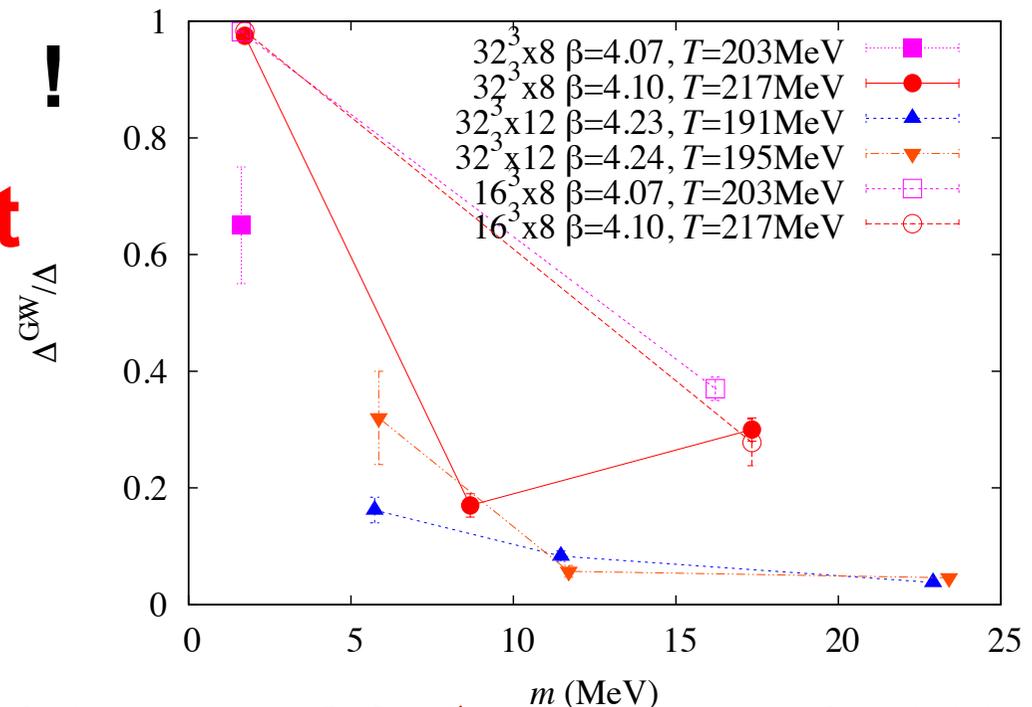
$U(1)_A$ ANOMALY IS SENSITIVE TO THE BAD MODES.

Mobius domain-wall fermion is not good enough (at high T) !

GW violation effect is 20%-100% .

(10 times of m_{res})

GW violation part in $U(1)_A$ susceptibility (definition will be given later.)

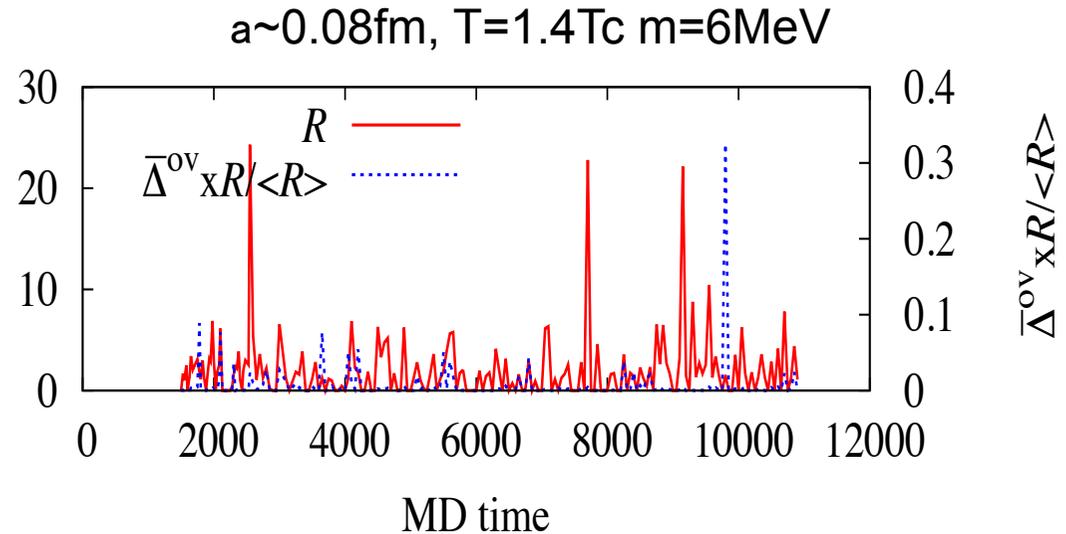


[JLQCD (Cossu et al.) 2015, JLQCD(Tomiya et al.) 2016]

OVERLAP/DOMAIN-WALL REWEIGHTING

(We employ overlap fermion in domain-wall sea)

$$R \equiv \frac{\det[D_{\text{ov}}(m)]^2}{\det[D_{\text{DW}}^{4D}(m)]^2} R$$



$$D_{\text{DW}}^{4D}(m) = \frac{1+m}{2} + \frac{1-m}{2} \gamma_5 \frac{1 - (T(H_M))^{L_s}}{1 + (T(H_M))^{L_s}} \quad m_{\text{res}} \sim 1\text{MeV}$$

$$D_{\text{ov}}(m) = \left[\frac{1+m}{2} + \frac{1-m}{2} \gamma_5 \text{sgn}(H_M) \right] \quad m_{\text{res}} = 0.$$

* The Mobius Kernel H_M (Brower et al. 2006) is different from original definitions by Kaplan 1992 & Neuberger 1998.

“EFFICIENCY” OF OV/DW REWEIGHTING

$$\frac{N_{eff}}{N} = \frac{\langle R \rangle}{N_{max} R}$$

On our 2-4 fm lattices at $T=1.1-1.8T_c$ ($T_c \sim 180 \text{ MeV}$)

$a \sim 0.1 \text{ fm}$: O.K. for $L=2 \text{ fm}$, $N_{eff}/N \sim 1/20$

but does not work for 4 fm . $N_{eff}/N < 1/1000$.

(\rightarrow we approximate it by $O(10)$ low-modes.)

$a \sim 0.08 \text{ fm}$: works well (3 fm). $N_{eff}/N \sim 1/10$

$a \sim 0.07 \text{ fm}$: domain-wall & overlap are consistent ($2.4, 3.6 \text{ fm}$). $N_{eff}/N > 1/10$

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Our focus in this talk

VALENCE OVERLAP IN DOMAIN-WALL SEA IS MORE DANGEROUS

Dirac spectrum

DW on DW confs

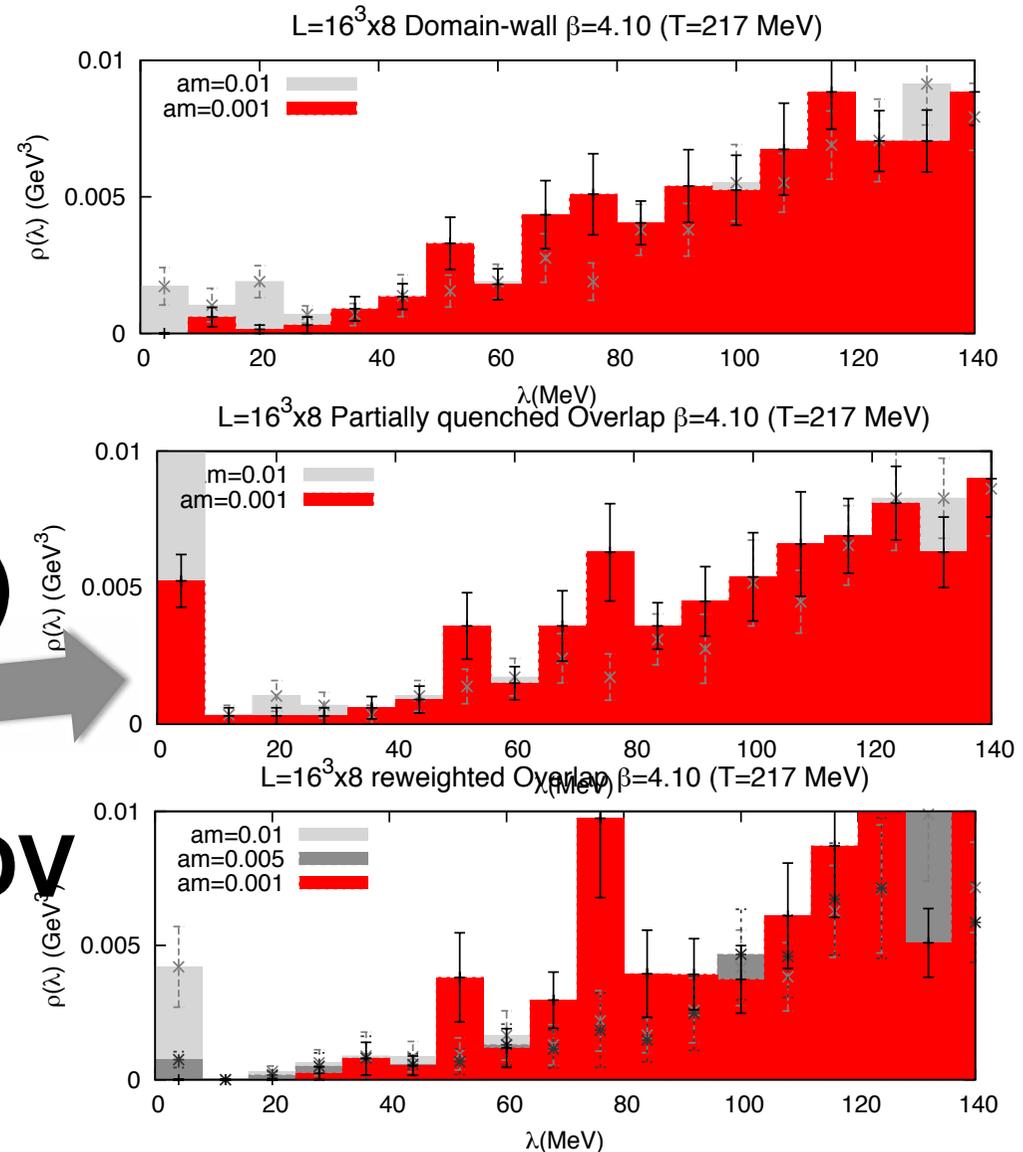
OV on DW confs

(partially quenched)

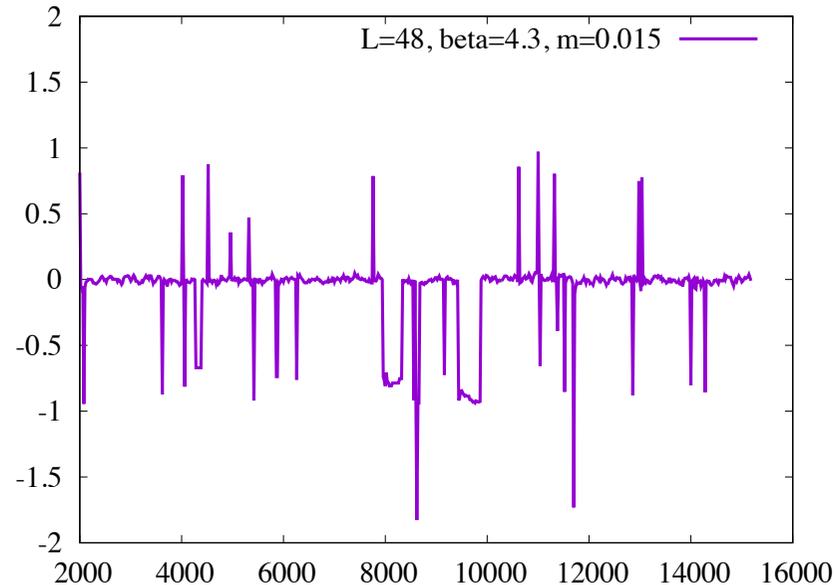
Fake zero modes

(partially quenched artifact)

OV on reweighted OV



OVERLAP/DOMAIN-WALL REWEIGHTING ALLOWS TOPOLOGY TUNNELINGS



**Auto-correlation time of topology is $O(100)$,
small enough compared to our long
trajectory length, 20000-30000 MD time.**

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Our answer = YES. $SU(2)_L \times SU(2)_R$ and $U(1)_A$ are connected through Dirac spectrum.
- ✓ 2. **Lattice QCD at high T with chiral fermions**
 $U(1)_A$ at high T is sensitive to lattice artifact.
We need good chiral sym (or careful cont. limit.).
- 3. **Result 1: $U(1)_A$ anomaly**
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- 5. **Summary**

WHAT WE OBSERVE

Axial U(1) susceptibility

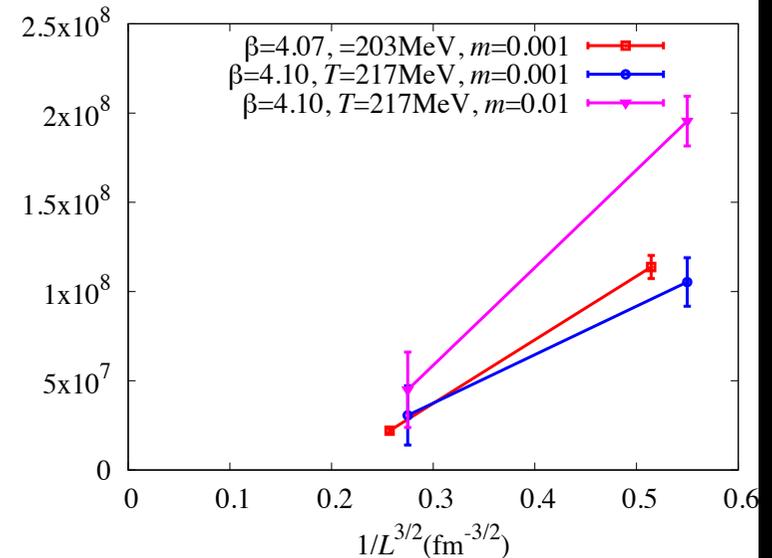
$$\Delta_{\pi-\delta} = \int d^4x [\langle \pi^a(x) \pi^a(0) \rangle - \langle \delta^a(x) \delta^a(0) \rangle],$$

$$\left(= \int_0^\infty d\lambda \rho(\lambda) \frac{2m^2}{(\lambda^2 + m^2)^2} \right)$$

We compute

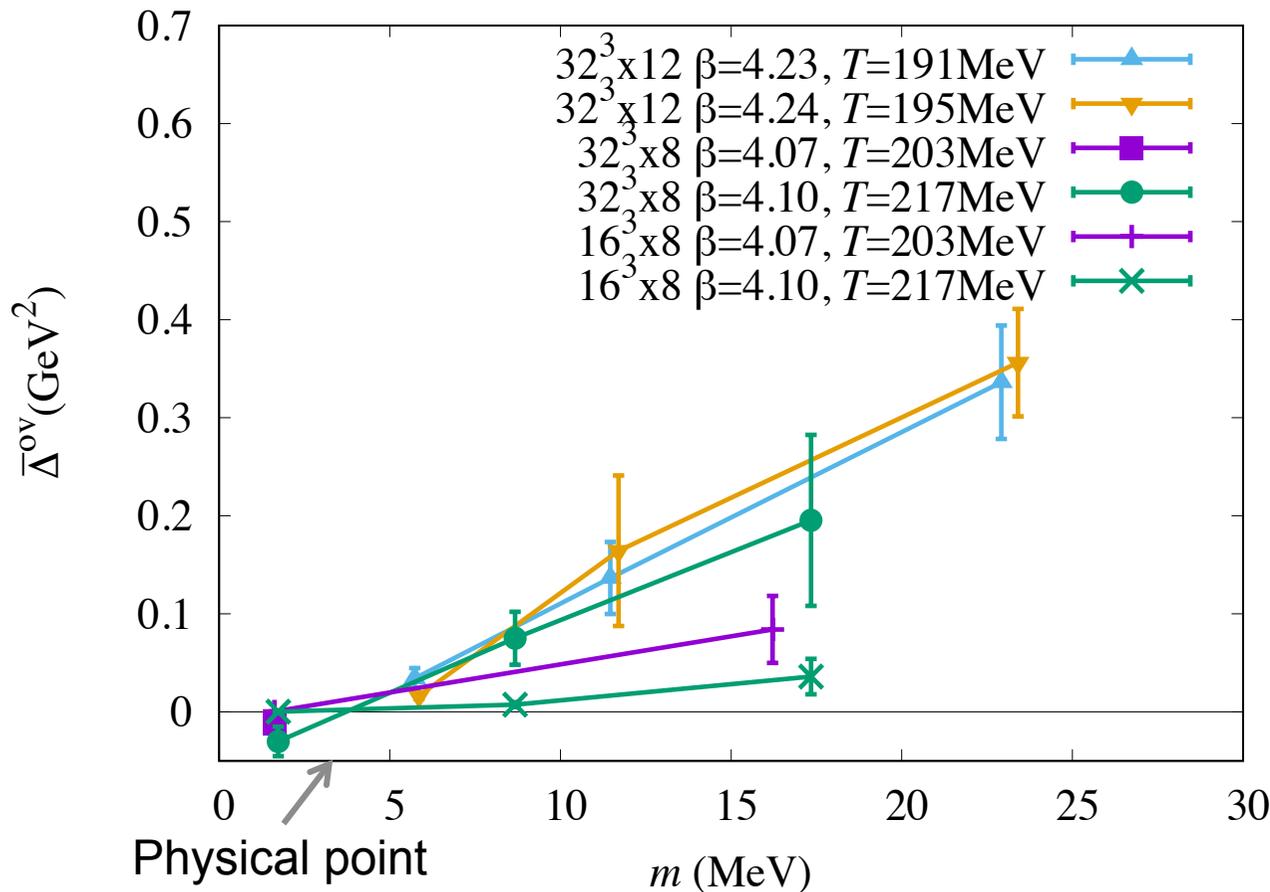
$$\bar{\Delta}_{\pi-\delta}^{\text{OV}} \equiv \Delta_{\pi-\delta}^{\text{OV}} - \frac{2N_0}{Vm^2} \cdot \overset{N_0/V \text{ (MeV}^4\text{)}}{}$$

N_0 : # of zero modes ($\sim 1/\sqrt{V}$)



U(1)_A ANOMALY VANISHES IN THE CHIRAL LIMIT

Coarse (a>0.08fm) lattice [JLQCD(Tomiya et al.) 2016]



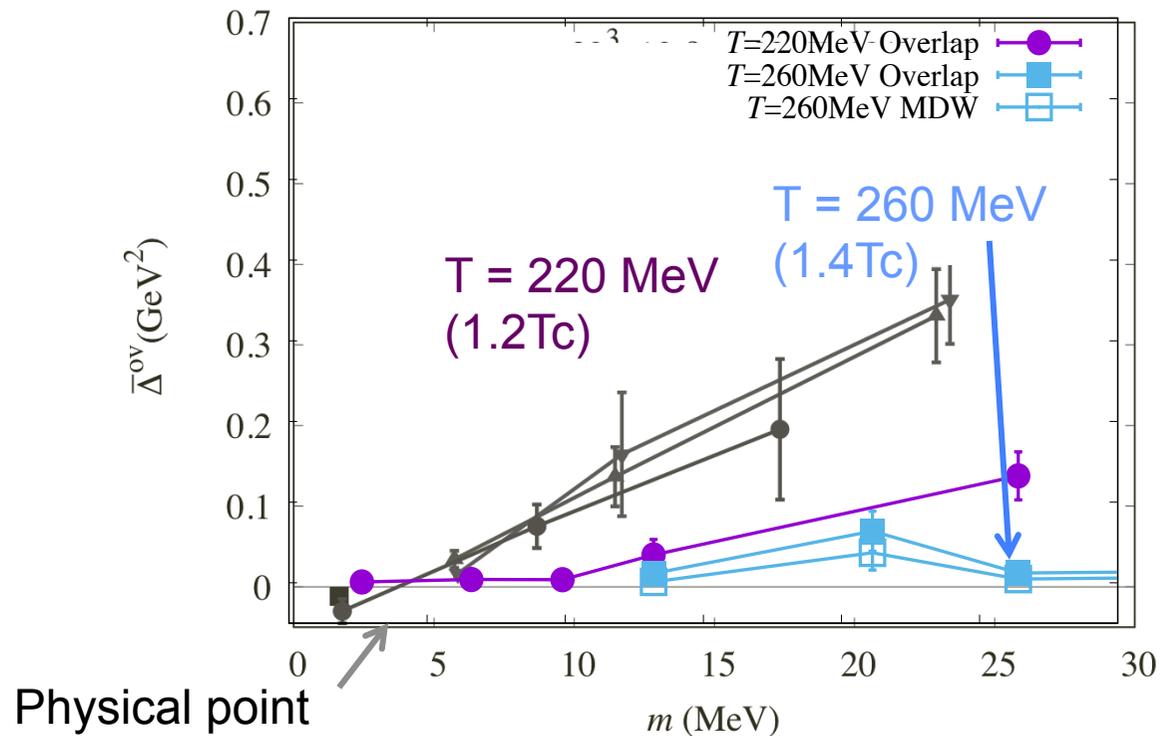
$T = 1.1 - 1.2 T_c$

L=16 (1.8fm)
and 32 (3.6fm)
results are
consistent.

($M_{screen}L > 5.$)

U(1) ANOMALY VANISHING ON FINE LATTICE ($a \sim 0.07\text{fm}$)

[JLQCD, preliminary, Suzuki Mon non-zero T]



Consistent with coarse lattice,
Consistent with domain-wall fermion at $T=260\text{MeV}$
($1.4T_c$).

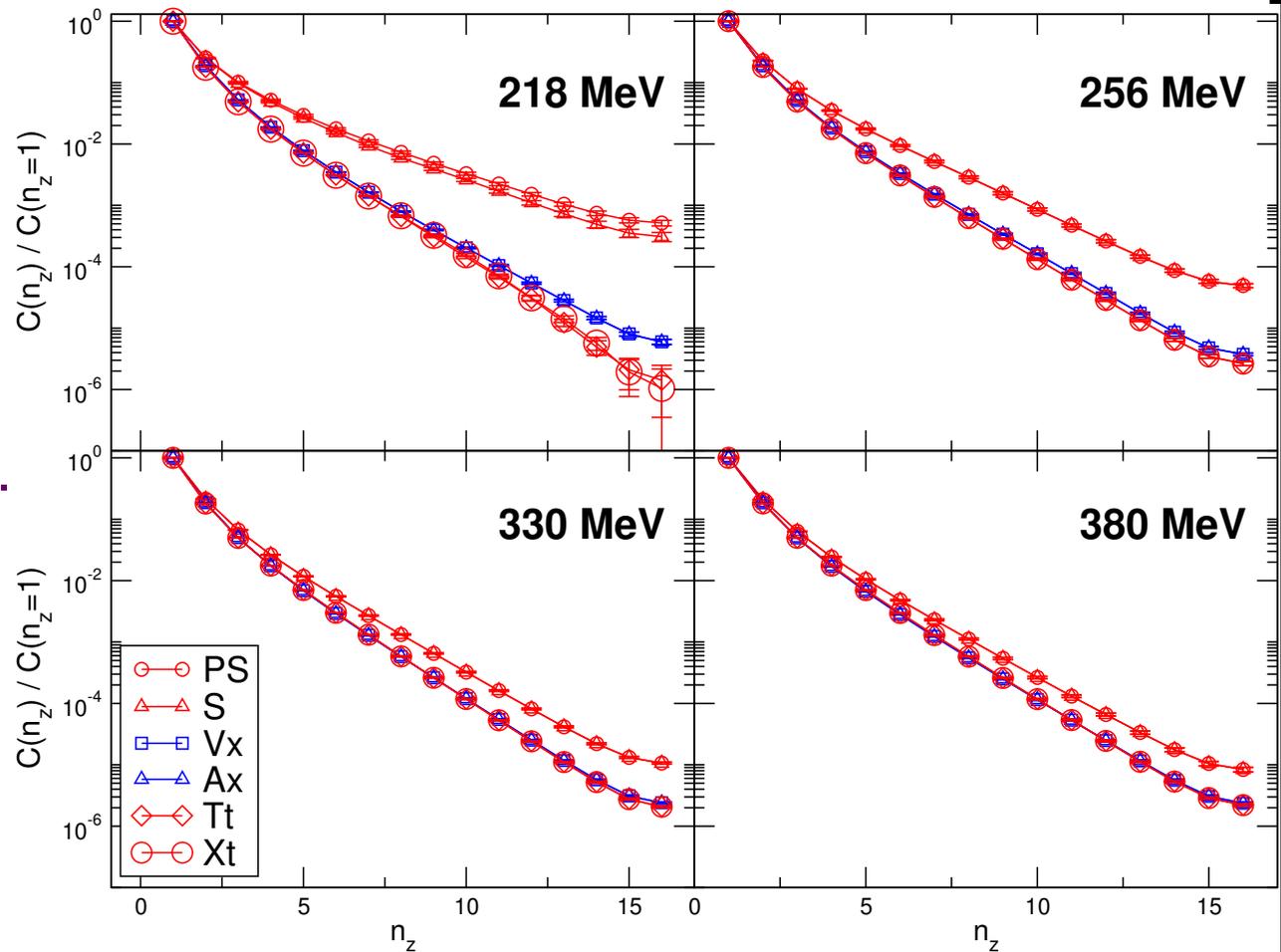
MESON CORRELATOR ITSELF SHOWS U(1) ANOMALY VANISHING

[C. Rohrhofer Wed, Nonzero-T preliminary]

SU(2)xSU(2)
[blue] and U(1)_A
(red) partners
are degenerate.

[similar results
reported by Brandt et al.
2016]

Further
enhancement to
SU(4) ? [Glozman 2015]



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✓ 2. **Lattice QCD at high T with chiral fermions**

$U(1)_A$ at high T is sensitive to lattice artifact.

We need good chiral sym (or careful cont. limit.).

✓ 3. **Result 1: $U(1)_A$ anomaly**

$U(1)_A$ anomaly at $T \sim 1.1-1.4T_c$ ($T_c \sim 180\text{MeV}$)
in the chiral limit is consistent with zero.

4. **Result 2: topological susceptibility**

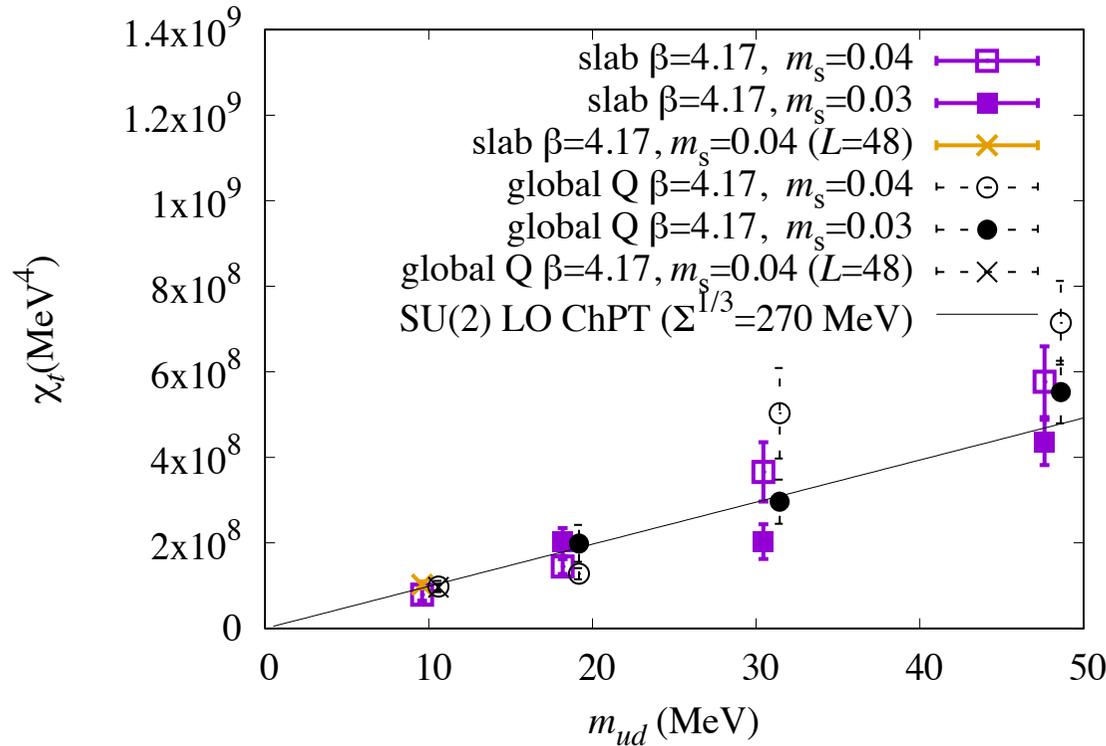
5. **Summary**

TOPOLOGICAL SUSCEPTIBILITY

$$\chi_t = \frac{\langle Q^2 \rangle}{V} \quad Q = \frac{1}{32\pi^2} \int d^4x \text{Tr} \epsilon_{\mu\nu\rho\sigma} F^{\mu\nu} F^{\rho\sigma}$$

another direct probe for $U(1)_A$ anomaly.

T = 0 results [JLQCD 2017 HF, Chiral Thu]

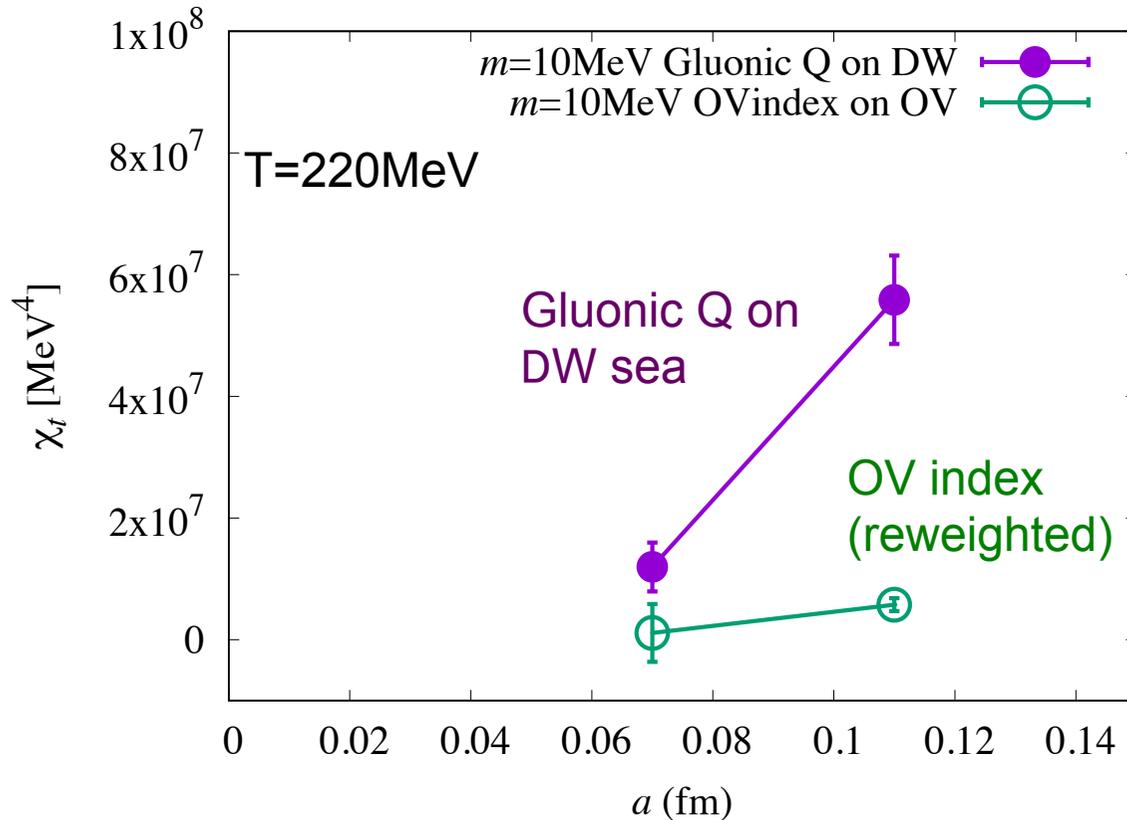


Mobius domain-wall fermion
Is good enough to
reproduce ChPT prediction.

TOPOLOGICAL SUSCEPTIBILITY

But above T_c , it is sensitive to lattice artifact.

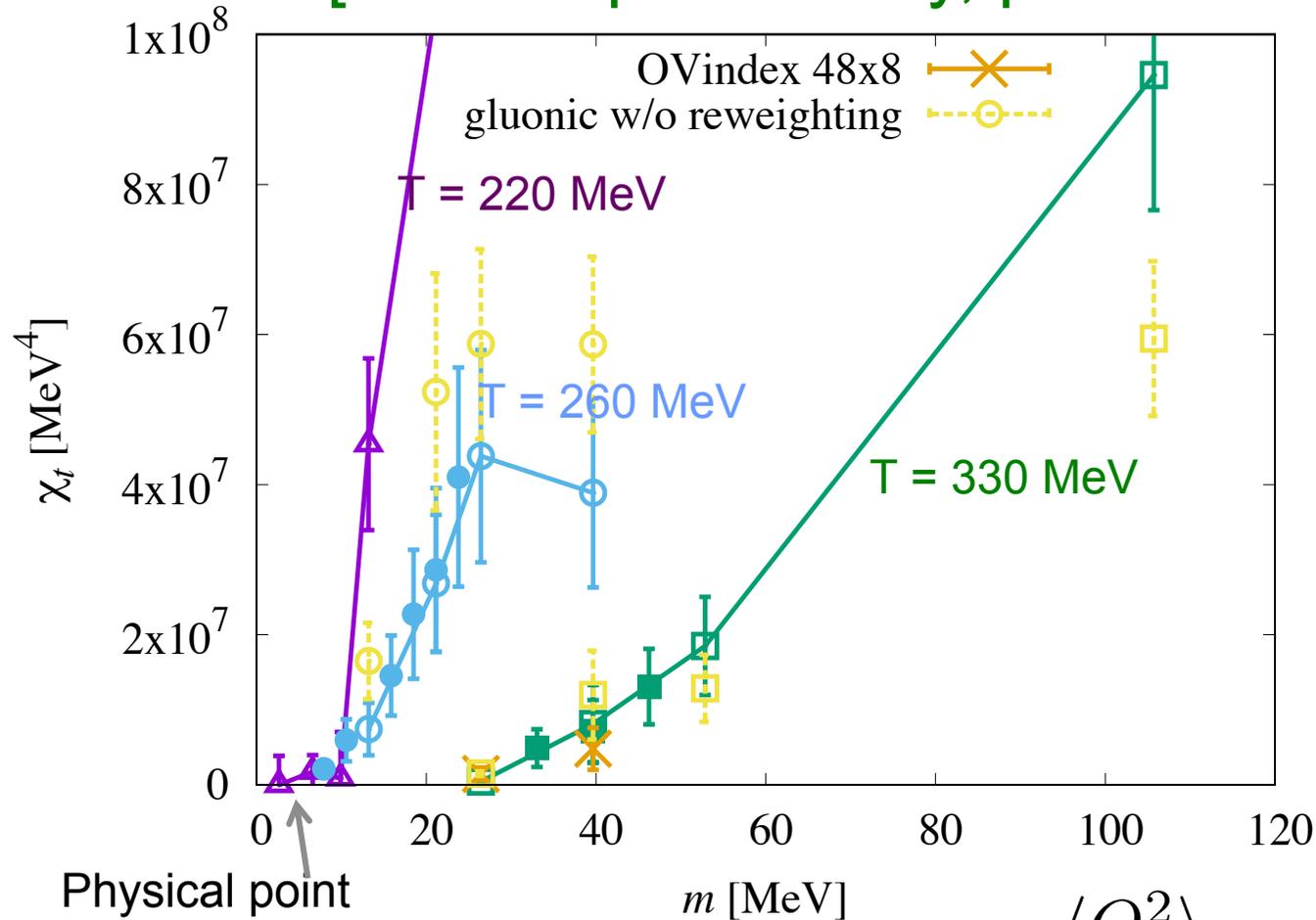
We need (reweighted) overlap fermion for $a > 0.08 \text{ fm}$.



index of overlap Dirac operator is stable against lattice cut-off.

TOPOLOGICAL SUSCEPTIBILITY VANISHES BEFORE THE CHIRAL LIMIT

[JLQCD preliminary, parallel talk by Y. Aoki]



L=48 (3.6fm)
& L=32 (2.4fm) results
are consistent.

On our fine lattices
($a \sim 0.07 \text{ fm}$) OV index
and gluonic def. after
Wilson flow
($\sqrt{8t} \sim 0.47 \text{ fm}$)
are also consistent.

Physical point

agrees with our prediction

[Aoki, F, Taniguchi 2012]

$$\frac{\langle Q^2 \rangle}{V} = 0 \quad \text{for } m < \exists m_{cr}$$

CAN AXION BE A DARK MATTER?

- If our result really indicates $\chi_t = 0$ at $1.8T_c$, **axion cannot be a dark matter.**
- But Dilute Instanton Gas Approximation (DIGA) prediction is **also small** ($< 1/100$ of our first non-zero data). Our data cannot numerically exclude DIGA.
- Our simulation is **2-flavor**. Any strange quark effect?

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$U(1)_A$ anomaly at $T \sim 1.1-1.4T_c$ ($T_c \sim 180\text{MeV}$)
in the chiral limit is consistent with zero.

✓ 4. **Result 2: topological susceptibility**

Topological susceptibility drops *before* the chiral limit.

5. **Summary**

SUMMARY

1. $U(1)_A$ anomaly at high T is a **non-trivial** problem.
2. $U(1)_A$ and $SU(2)_L \times SU(2)_R$ order prms. connected.
3. $U(1)_A$ is sensitive to lattice artifact at high T
-> **We need overlap fermion for $a > 0.08$ fm.**

For $a = 0.07$ fm, Mobius domain-wall is O.K.

4. In our simulation **with chiral fermions at 3 volumes and 3-10 quark masses** at $T = 1.1 - 1.8 T_c$ ($T_c \sim 180$ MeV), **$U(1)_A$ anomaly disappears** [before the chiral limit]
(suggesting **1st order transition** ?).

MAIN MESSAGE OF THIS TALK

In high T QCD, whether

$$\begin{aligned} & \langle \langle \partial_\mu J_5^\mu(x) O(x') \rangle_{fermion} - \langle \delta_A O(x) \rangle_{fermion} \delta(x - x') \rangle_{gluons} \\ & = \left\langle \frac{1}{32\pi^2} \epsilon_{\mu\nu\rho\sigma} F^{\mu\nu} F^{\rho\sigma}(x) \langle O(x') \rangle_{fermion} \right\rangle_{gluons} = 0??? \end{aligned}$$

or not is **a non-trivial question**, which can only be answered by carefully integrating over **gluons** (by lattice QCD).

In particular, **good control of chiral symmetry (or continuum limit) is essential.**

BACK UP SLIDES



WHY BAD MODES ONLY ABOVE T_c ?

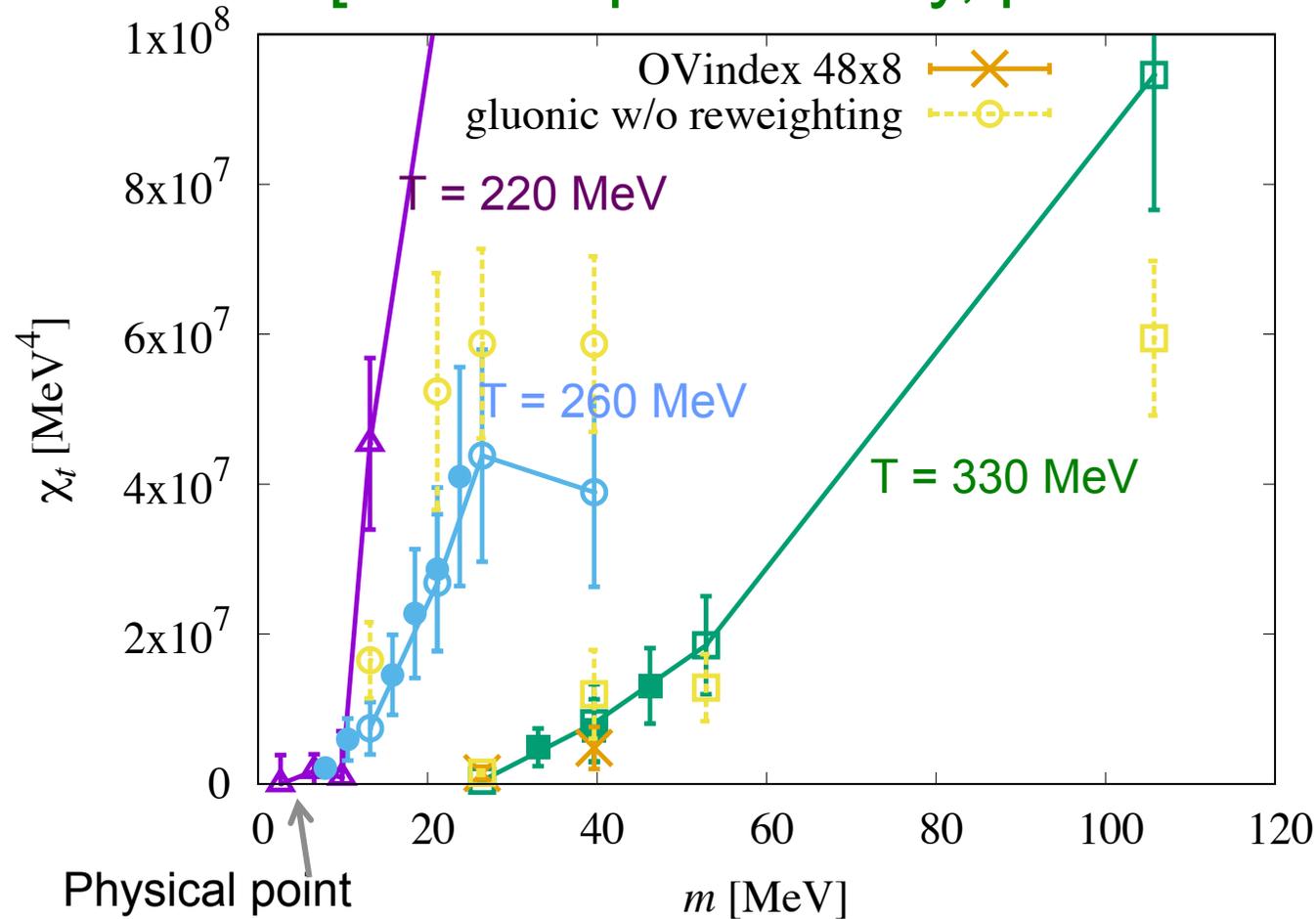
Suppose bad modes are always there but sparse.

At $T=0$, they mix with **MANY** good low-lying modes, then relative lattice artifact is comparable to residual mass.

At $T > T_c$, good modes are also **SPARSE**, the lattice artifacts remain large.

SHARP DROP IS NOT DUE TO TOPOLOGY FREEZING

[JLQCD preliminary, parallel talk by Y. Aoki]



Filled symbols = mass reweighting from heavier mass, where topology is fluctuating well.

COMPARISON WITH OTHER SPEAKERS

Bonati et al. 2015: bigger than DIGA

2+1-flavor staggered, gluonic Q,
 $a=0.057-0.082\text{fm}$ $0.9-1.2T_c$ $L=2.4-3.8\text{fm}$.

Borsanyi et al. 2016: power consistent with DIGA.

Overlap (Q fixed) & staggered w/ OV/
staggered *zero-mode* reweighting, Oindex,
 $a=$ many points, $T=100-3000\text{MeV}$, $L=$ many sizes

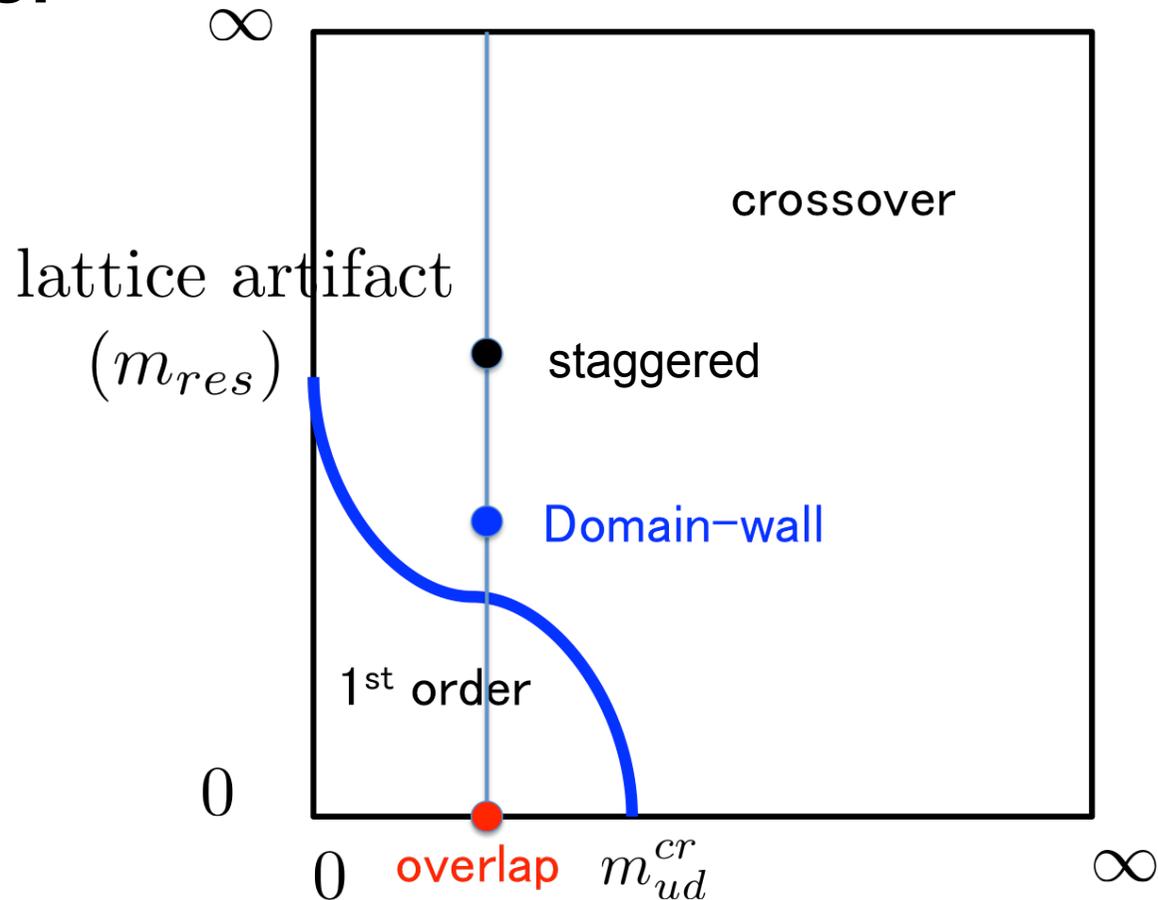
JLQCD 2017 [preliminary]: $\chi_t = 0$ but resolution is not enough to exclude DIGA.

2-flavor Mobius domain-wall + reweighted overlap,
Oindex & gluonic Q, $a=0.075-0.113\text{ fm}$,
 $T=200-330\text{MeV}$, $L=2.4-3.6\text{fm}$.

WHY DIFFERENT ?

Overlap and non-chiral fermions may be in different phases:

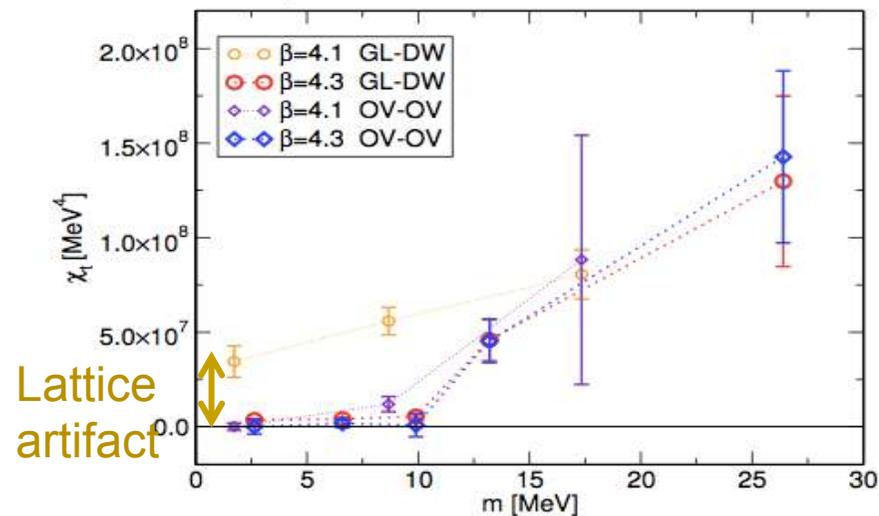
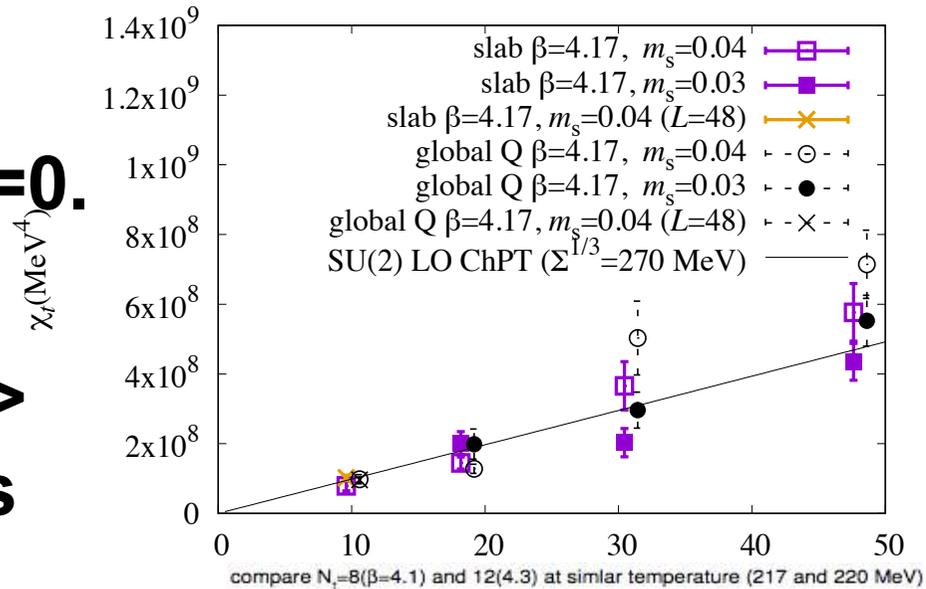
Lattice artifact
= another axis



WHEN WE USE DOMAIN-WALL FERMIONS WE MUST

1. Check mass dependence at $T=0$.
2. Check mass dependence at $T > T_c$: if $m=0$ limit is consistent with zero.

Otherwise, your results could be contaminated by lattice artifacts.



RESOLUTION OF $\langle Q^2 \rangle$

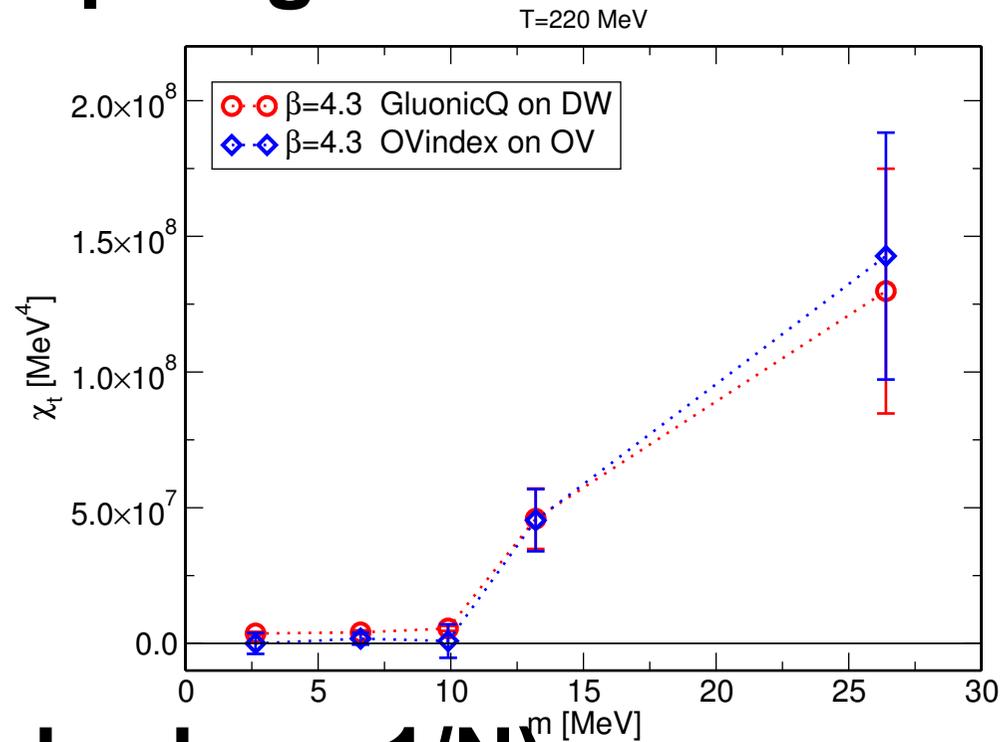
Topological charge is discrete.

→ resolution of topological

susceptibility is

limited by

of confs.



We put $\max(\text{standard err}, 1/N)$ to estimate the statistical error.

ASSUMPTIONS IN AOKI-FUKAYA-TANIGUCHI 2012

1. $SU(2) \times SU(2)$ fully recovered at T_c .

2. if $\mathcal{O}(A)$ is m -independent

$$\langle \mathcal{O}(A) \rangle_m = f(m^2) \quad f(x) \text{ is analytic at } x = 0$$

3. if $\mathcal{O}(A)$ is m -independent and positive, and satisfies

$$\lim_{m \rightarrow 0} \frac{1}{m^{2k}} \langle \mathcal{O}(A) \rangle_m = 0$$

$$\longrightarrow \langle \mathcal{O}(A) \rangle_m = m^{2(k+1)} \underbrace{\int \mathcal{D}A \hat{P}(m^2, A) \mathcal{O}(A)}_{\text{finite}}$$

$$\longrightarrow \langle \mathcal{O}(A)^l \rangle_m = m^{2(k+1)} \int \mathcal{D}A \hat{P}(m^2, A) \mathcal{O}(A)^l = O(m^{2(k+1)})$$

$$4. \rho^A(\lambda) \equiv \lim_{V \rightarrow \infty} \frac{1}{V} \sum_n \delta \left(\lambda - \sqrt{\bar{\lambda}_n^A \lambda_n^A} \right) = \sum_{n=0}^{\infty} \rho_n^A \frac{\lambda^n}{n!} \quad \text{at } \lambda = 0 \quad (\lambda < \epsilon)$$

(4 can be removed.)

SUM OF NON-ZERO QUANTITY NONZERO ?

NOT always.

Example: chiral condensate

$$\langle \bar{q}q \rangle = \frac{\int dA \text{Tr} D^{-1} \det D e^{-S_G}}{Z}$$

can be zero and non-zero. How about

$$\begin{aligned} & \langle \langle \partial_\mu J_5^\mu(x) O(x') \rangle_{fermion} - \langle \delta_A O(x) \rangle_{fermion} \delta(x - x') \rangle_{gluons} \\ & = \left\langle \frac{1}{32\pi^2} \epsilon_{\mu\nu\rho\sigma} F^{\mu\nu} F^{\rho\sigma}(x) \langle O(x') \rangle_{fermion} \right\rangle_{gluons} = 0??? \end{aligned}$$

OUR OVERLAP DIRAC OPERATOR

$$D_{\text{ov}}(m) = \sum_{|\lambda_i^M| < \lambda_{\text{th}}^M} \left[\frac{1+m}{2} + \frac{1-m}{2} \gamma_5 \text{sgn}(\lambda_i^M) \right] |\lambda_i^M\rangle \langle \lambda_i^M|$$
$$+ D_{\text{DW}}^{4\text{D}}(m) \left[1 - \sum_{\lambda_i^M < |\lambda_{\text{th}}^M|} |\lambda_i^M\rangle \langle \lambda_i^M| \right],$$

λ_i^M : eigenvalue of H_M .

PHASE DIAGRAM?

