

# $B_S \rightarrow K\ell\nu$ form factors with 2+1 flavors

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Fermilab Lattice and MILC Collaborations

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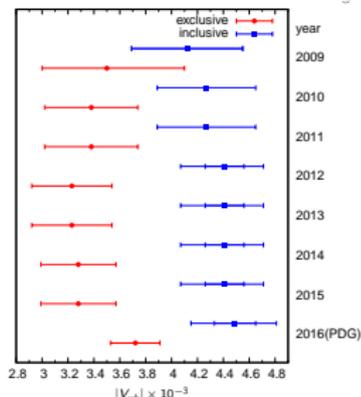
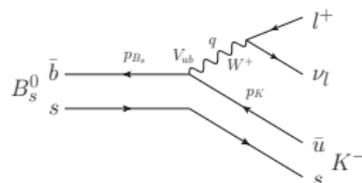
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# Motivation

- The Cabibbo-Kobayashi-Masakawa (CKM) matrix element  $|V_{ub}|$  is one of the fundamental parameters in the Standard Model and is an important input to search for new physics.
- There is still tension between inclusive and exclusive  $|V_{ub}|$ .
- The charged current  $B_s \rightarrow K^- \ell^+ \nu$  proceeds proportional to  $V_{ub}^*$ :
  - combining with further experimental measurements at LHCb or Belle II to determine  $|V_{ub}|$ .
- This is an alternative to  $B \rightarrow \pi^- \ell^+ \nu$  in determining  $|V_{ub}|$  from exclusive semileptonic decays, with smaller lattice errors.



Similar work by [HPQCD\(2014\)](#) and [RBC&UKQCD\(2015\)](#).

	sea	valence u,d,s	valence b
HPQCD	2+1 flavors MILC asqtad	HISQ	lattice NRQCD
RBC&UKQCD	2+1 flavors domain-wall	domain-wall	Columbia RHQ
Fermilab/MILC	2+1 flavors MILC asqtad	asqtad	Fermilab/clover

- Amplitudes are decomposed into form factors:

$$\begin{aligned}
 \langle K(p_K) | \bar{u} \gamma^\mu b | B_s(p_{B_s}) \rangle &= \left( p_K^\mu + p_{B_s}^\mu - q^\mu \frac{M_{B_s}^2 - M_K^2}{q^2} \right) f_+(q^2) + q^\mu \frac{M_{B_s}^2 - M_K^2}{q^2} f_0(q^2) \\
 &= \sqrt{2M_{B_s}} [v^\mu f_{\parallel}(E_K) + p_{\perp}^\mu f_{\perp}(E_K)].
 \end{aligned} \tag{1}$$

$f_+$  and  $f_0$  are vector and scalar form factors satisfying the kinematic constraint:

$$f_+(0) = f_0(0). \tag{2}$$

- $f_{\parallel}$  and  $f_{\perp}$  are form factors related to the temporal and spatial components of the matrix element:

$$f_+(q^2) = \frac{1}{2M_{B_s}} [f_{\parallel}(E_K) + (M_{B_s} - E_K) f_{\perp}(E_K)], \tag{3a}$$

$$f_0(q^2) = \frac{\sqrt{2M_{B_s}}}{M_{B_s}^2 - M_K^2} [(M_{B_s} - E_K) f_{\parallel}(E_K) + (E_K^2 - M_K^2) f_{\perp}(E_K)]. \tag{3b}$$

# Procedure to get the form factors

- For each ensemble:
  - Determine the lattice  $B_s$  meson masses, kaon masses and energies from the lattice 2-point correlation functions.
  - Determine the lattice form factors  $f_{\parallel}^{\text{lat}}$  and  $f_{\perp}^{\text{lat}}$  at several discrete kaon momentum  $\mathbf{p}_K$  from two- and three-point correlation functions.
- Obtain the continuum  $f_{\parallel}$  and  $f_{\perp}$  at a finite  $\mathbf{p}_K$  by extrapolating the lattice form factors to physical quark masses and continuum (zero lattice spacing) limits, and matching the corresponding currents.
- Construct the continuum form factors  $f_+$  and  $f_0$  from  $f_{\parallel}$  and  $f_{\perp}$  via Eqs.(3) and extrapolate to the whole kinematically allowed momentum transfer region, especially at  $q^2 = 0$  point.
- Comprehensive error analysis at all the above steps.

- On a Euclidean space-time lattice, the correlation functions with momentum  $\mathbf{p}_K$  are defined as:

$$C_2^{B_s}(t; 0) = \sum_{\mathbf{x}} \langle \mathcal{O}_{B_s}(t, \mathbf{x}) \mathcal{O}_{B_s}^\dagger(0, \mathbf{0}) \rangle, \quad (4a)$$

$$C_2^K(t; \mathbf{p}_K) = \sum_{\mathbf{x}} \langle \mathcal{O}_K(t, \mathbf{x}) \mathcal{O}_K^\dagger(0, \mathbf{0}) \rangle e^{-i\mathbf{p}_K \cdot \mathbf{x}}, \quad (4b)$$

$$C_{3,\mu}^{B_s \rightarrow K}(t, T; \mathbf{p}_K) = \sum_{\mathbf{x}, \mathbf{y}} \langle \mathcal{O}_K(0, \mathbf{0}) V^\mu(t, \mathbf{y}) \mathcal{O}_{B_s}^\dagger(T, \mathbf{x}) \rangle e^{i\mathbf{p}_K \cdot \mathbf{y}}, \quad (4c)$$

with  $\mathcal{V}^\mu \equiv \bar{u} \gamma^\mu b = Z_{V^\mu} V^\mu$ .

- Two-point correlation functions are constructed to extract lattice meson masses and to verify dispersion relations.
- Three-point correlation functions are constructed to extract the lattice form factors:

$$f_{\parallel}(E_K) = \frac{\langle K | \bar{u} \gamma^0 b | B_s \rangle}{\sqrt{2M_{B_s}}}, \quad (5a)$$

$$f_{\perp}(E_K) = \frac{\langle K | \bar{u} \gamma^i b | B_s \rangle}{\sqrt{2M_{B_s}}} \frac{1}{p_K^i}. \quad (5b)$$

## Lattice simulation details

- Sea quarks: MILC asqtad ensembles (2+1 flavors with rooted staggered fermions).
  - lattice spacing:  $\approx 0.12, 0.09$  and  $0.06$  fm;  $m_l/m_s \approx 0.05, 0.1, 0.15$  and  $0.2$ .
- Valence quarks: asqtad for u, d and s; Fermilab/clover for b.
  - Valence light quarks are degenerate with the sea light quarks:  $m'_l = m_l$ .
  - Valence strange quarks are better tuned than the sea strange quarks  $m'_s \neq m_s$ .
- This is a subset of the MILC asqtad ensembles; chosen based on experience from  $B \rightarrow \pi$  and  $B \rightarrow K$  analysis.

$\approx a(\text{fm})$	$N_s^3 \times N_t$	$am_l/am_s$	$am'_l/am'_s$	$N_{\text{config}}$	$N_{\text{source}}$	$M_\pi N_s$
0.12	$24^3 \times 64$	0.0050/0.0500	0.0050/0.0336	2099	4	3.8
0.09	$28^3 \times 96$	0.0062/0.031	0.0062/0.0247	1931	4	4.1
0.09	$32^3 \times 96$	0.00465/0.031	0.00465/0.0247	1015	8	4.1
0.09	$40^3 \times 96$	0.0031/0.031	0.0031/0.0247	1015	8	4.2
0.09	$64^3 \times 96$	0.00155/0.031	0.00155/0.0247	791	4	4.8
0.06	$64^3 \times 144$	0.0018/0.018	0.0018/0.0177	827	4	4.3

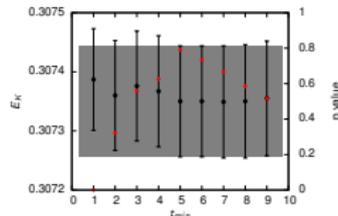
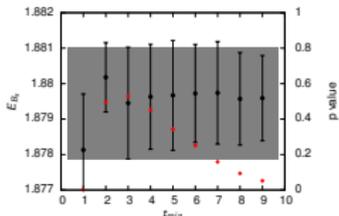
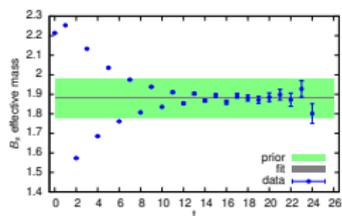
# Two-point correlator fits

Two-point correlators are fit to the following functional forms

$$C_2^{B_s}(t; 0) = \sum_{n=0}^{N-1} (-1)^{n(t+1)} \frac{|\langle 0 | \mathcal{O}_{B_s} | B_s^{(n)} \rangle|^2}{2M_{B_s}^{(n)}} \left( e^{-M_{B_s}^{(n)} t} + e^{-M_{B_s}^{(n)} (N_t - t)} \right), \quad (6a)$$

$$C_2^K(t; \mathbf{p}_K) = \sum_{n=0}^{N-1} (-1)^{n(t+1)} \frac{|\langle 0 | \mathcal{O}_K | K^{(n)} \rangle|^2}{2E_K^{(n)}} \left( e^{-E_K^{(n)} t} + e^{-E_K^{(n)} (N_t - t)} \right). \quad (6b)$$

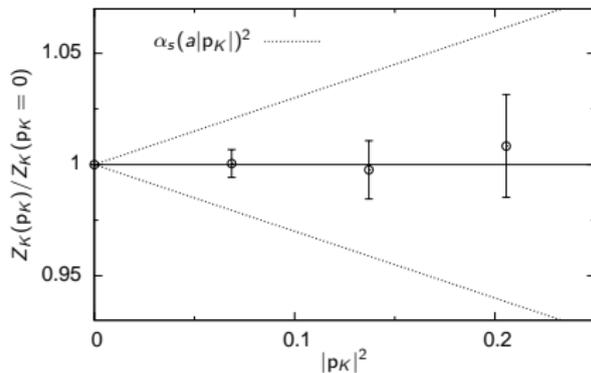
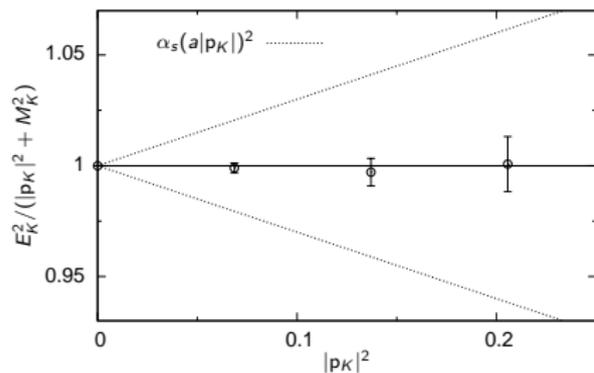
- $N = 3$  is used for the fits.
- Prior central values are set according to the lattice effective masses.
- Prior widths are wide enough to avoid bias in the fitting procedure.
- $t_{max}$  is chosen such that the fractional error in the correlators are small ( $< 3\%$ ).
- $t_{min}$  is set to have a good p-value and stable fit result.



$a \approx 0.12 \text{ fm}$

# Dispersion relations

- Lattice energies and amplitudes agree with continuum dispersion relation,  $E_K^2 = M_K^2 + \mathbf{p}_K^2$  and  $Z_K(\mathbf{p}_K) \equiv |\langle 0 | \mathcal{O}_K | K^{(n)} \rangle| = Z_K(\mathbf{p}_K = 0)$ , for all ensembles.
- The energy errors are larger for large lattice momentum and therefore only data with lattice momentum up to “(1,1,1)” are used.
- After the dispersion relation is verified, continuum dispersion relation is used to get the energies.



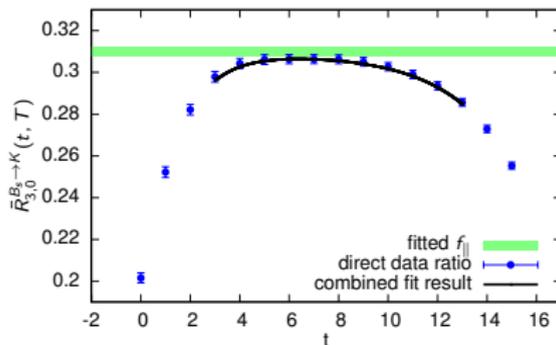
$$a \approx 0.12 \text{ fm}$$

# Three-point correlator fits

Three-point correlators have the following functional form

$$C_{3,\mu}^{B_s \rightarrow K}(t, T; \mathbf{p}_K) = \sum_{m,n=0}^{N-1} (-1)^{m(t+1)} (-1)^{n(T-t-1)} A_{mn}^\mu e^{-E_K^{(m)} t} e^{-M_{B_s}^{(n)}(T-t)}. \quad (7)$$

- They share common ingredients, i.e., energies and amplitudes, with the two-point correlators.
- A simultaneous fit of two- and three-point correlators is possible and the data is accurate enough to take the excited states into account.
  - **Blue points** are the ratio of two- and three-point correlators that suppress opposite parity states.
  - **Black curve** is the simultaneous fit result; agrees well with the ratio data (**blue points**).
  - **Green band** is the resulting amplitude without excited states.



$a \approx 0.12 \text{ fm}$

- SU(2) HMrS $\chi$ PT:

$$f_{P,\text{NLO}} = f_P^{(0)} [c_P^0 (1 + \delta f_{P,\text{logs}}) + c_P^1 \chi_l + c_P^2 \chi_h + c_P^3 \chi_E + c_P^4 \chi_E^2 + c_P^5 \chi_a^2], \quad (8)$$

where the leading order term is

$$\frac{1}{f} \frac{g_\pi}{E_K + \Delta_P^*}. \quad (9)$$

- The  $\Delta_P^*$  takes the pole contribution into account:

$$\Delta_P^* = \frac{M_{B^*}^2 - M_{B_s}^2 - M_K^2}{2M_{B_s}}. \quad (10)$$

They are determined by requiring  $f_{\parallel}$  and  $f_{\perp}$  to have the same pole as  $f_0$  and  $f_+$ .

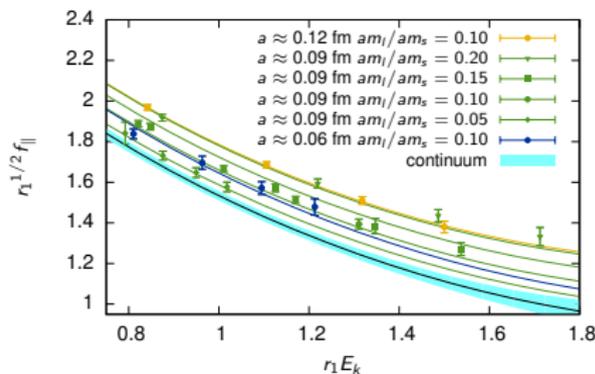
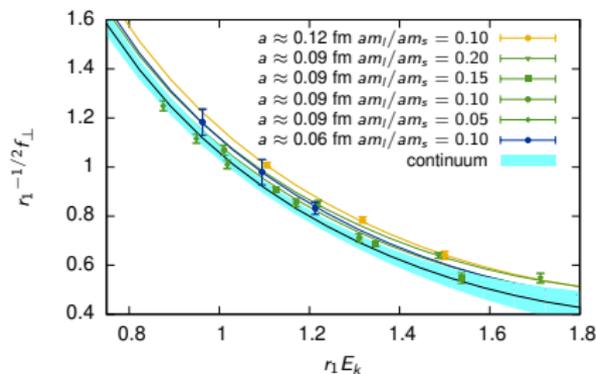
- The vector meson (with  $J^P = 1^-$ ) has been experimentally measured [PDG(2016)] as  $M_{B^*} = 5324.65(25)\text{MeV}$ .
- The scalar  $B^*$  meson (with  $J^P = 0^+$ ) has not been observed experimentally, but lattice calculation [HPQCD(2011)] suggests the mass difference between  $0^+$  and  $0^-$  states to be around 400MeV:

$$M_{B^*}(0^+) - M_B \approx 400\text{MeV}. \quad (11)$$

- The  $J^P = 1^-$  pole is below the  $B\pi$  production threshold, while the  $0^+$  one is slightly above it.

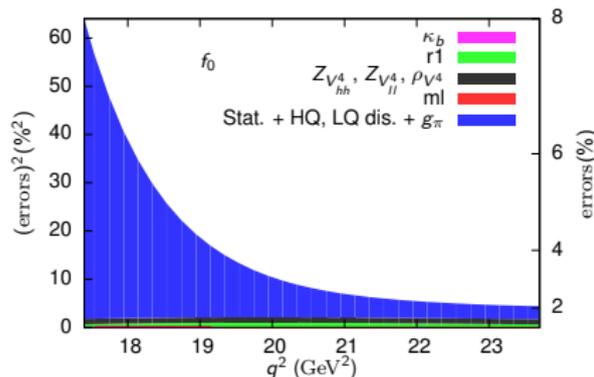
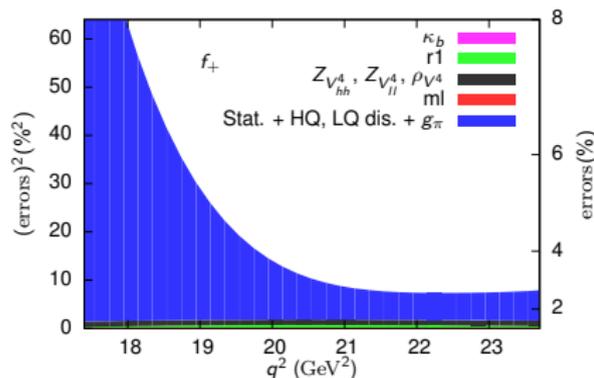
# Chiral continuum extrapolation

- Fitting the  $f_{\parallel}$  and  $f_{\perp}$  simultaneously.
- The next-to-next leading order (NNLO) HMrS $\chi$ PT is used as the central fit.
- The statistical errors dominate over various systematic ones.
- $\chi^2/dof[dof] = 0.89[42]$ ; p-value is 0.68.

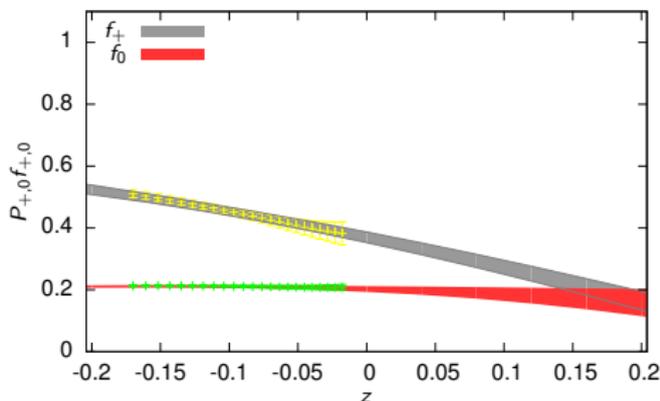


# Error budgets (preliminary)

- The statistical errors, especially the ones coming from the [chiral-continuum extrapolation and discretization](#), are large in the small  $q^2$  region.
- A reliable method is needed to extrapolate the form factors to  $q^2 = 0$ .

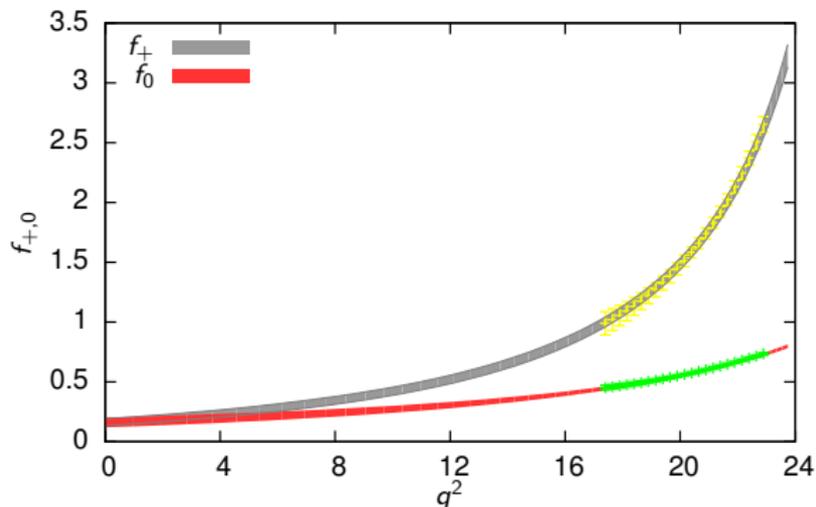


- Functional method (PRD92, 2015) with BCL z-expansion is used.
- Fit  $f_+$  and  $f_0$  simultaneously by keeping up to  $z^3$  terms without any constraint.
- The kinematic constraint  $f_+(q^2 = 0) = f_0(q^2 = 0)$  is satisfied automatically.
- The unitarity condition  $\sum_{m,n=0}^K B_{mn} b_m b_n \leq 1$  is satisfied automatically.
- Adding the heavy quark and/or kinematic constraints decreases the form factor errors at  $q^2 = 0$  slightly.



## Form factors (preliminary)

- Fitting the  $f_+$  and  $f_0$  simultaneously.
- $K = 4$  (up to  $z^3$ ) without constraint is used as the central fit.
- $\chi^2/dof[dof] = 0.82[5]$ ; p-value is 0.54.
- Z factors are still “blinded”.



- Analysis is almost done for  $B_s \rightarrow K\ell\nu$  form factors on 2+1 flavors asqtad ensembles.
- Need to:
  - Complete the error estimates.
  - Unblind the Z factors and get the final form factors for phenomenology.
  - Write-up a paper.
  - Wait for experimental input and determine  $|V_{ub}|$ .

# BACKUP

- Map the whole complex  $q^2$  plane onto the unit disk in the  $z$  plane.

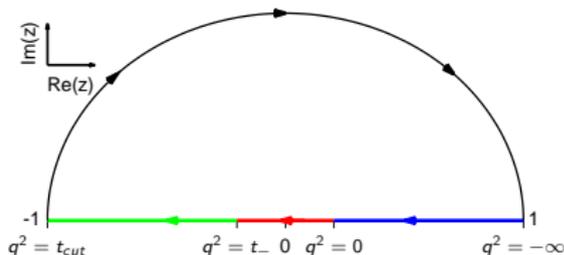
$$z(q^2, t_0) = \frac{\sqrt{t_{\text{cut}} - q^2} - \sqrt{t_{\text{cut}} - t_0}}{\sqrt{t_{\text{cut}} - q^2} + \sqrt{t_{\text{cut}} - t_0}},$$

$$q^2 = t_{\text{cut}} - \left(\frac{1+z}{1-z}\right)^2 (t_{\text{cut}} - t_0),$$

$$t_{\text{cut}} = (M_B + M_\pi)^2,$$

$$t_- = (M_{B_s} - M_K)^2,$$

$$t_0 = t_{\text{cut}}(1 - \sqrt{1 - t_-/t_{\text{cut}}}).$$



- $t_{\text{cut}}$  is the  $B\pi$  pair-production threshold.
- $t_-$  is the maximum momentum-transfer squared allowed in the  $B_s \rightarrow K^- \ell^+ \nu$  decay.
- $t_0$  is chosen such that the full kinematic range for  $B_s \rightarrow K^- \ell^+ \nu$  decay is centered around the origin  $z = 0$ , i.e., by solving  $z(q^2 = 0, t_0) = -z(q^2 = t_-, t_0)$ .
- Lattice data range:  $0.87 < r_1 E_k < 1.71$ ;  $17.4 < q^2 < 23.1$ ;  $-0.178 < z < -0.018$ .
- Kinematically allowed range:  
 $-0.204 = z(q^2 = t_-, t_0) \leq z \leq z(q^2 = 0, t_0) = 0.204$ .

# BCL parametrization of the z-expansion

By analyticity and positivity properties of vacuum polarization functions, the form factors can be expanded as (BGL)

$$f_+(q^2) = \frac{1}{B(q^2)\phi(q^2, t_0)} \sum_{n=0}^{\infty} a_n(t_0) z^n, \quad (12)$$

where  $B(q^2) = z(q^2, M_{B^*}^2)$  is the Blaschke factor, which takes the pole(s) into account;  $\phi(q^2, t_0)$  is a complicated outer function, computable via perturbative QCD and the operator product expansion.

From unitarity and crossing symmetry, one gets (unitarity condition):

$$\sum_{n=0}^{\infty} a_n^2(t_0) \leq 1. \quad (13)$$

An alternative simpler parametrization is

$$f_+(q^2) = \frac{1}{1 - q^2/m_{B^*}^2} \sum_{k=0}^K b_k(t_0) z^k. \quad (14)$$

From angular momentum conservation and analyticity, one can get  $\frac{\partial f_+}{\partial z} \Big|_{z=-1} = 0$ , which means  $b_K = \sum_{k=0}^{K-1} (-1)^{k-K-1} \frac{k}{K} b_k$ .

Therefore, Eq. (14) can be written as (BCL)

$$f_+(q^2) = \frac{1}{1 - q^2/m_{B^*}^2} \sum_{k=0}^{K-1} b_k \left[ z^k - (-1)^{k-K} \frac{k}{K} z^K \right], \quad (15)$$

$f_0$  can be expanded as  $\sum_{k=0}^K b_k z^k$  or as in Eq. (14) depending on the importance of the scalar pole.

The unitarity condition in BGL Eq. (13) becomes

$$\sum_{j,k=0}^K B_{jk}(t_0) b_j(t_0) b_k(t_0) \leq 1, \quad (16)$$

where the  $B_{jk}$  is calculatable via the outer function  $\phi(q^2, t_0)$ .