

Testing a non-perturbative mechanism for elementary fermion mass generation: lattice setup

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(see also talk by [P. Dimopoulos](#): "Testing a non-perturbative mechanism for elementary fermion mass generation: numerical result")

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- Frezzotti and Rossi in [Phys. Rev. D92 (2015) 054505] conjectured a new non-perturbative mechanism for the elementary particle mass generation
- We are testing this conjecture in the "simplest" appropriate $d = 4$ "toy model"

$$\begin{aligned} \mathcal{L}_{\text{toy}}(Q, A, \Phi) = & \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a + \frac{1}{2} \text{Tr}[\partial_\mu \Phi^\dagger \partial_\mu \Phi] + \frac{\mu_0^2}{2} \text{Tr}[\Phi^\dagger \Phi] + \frac{\lambda_0}{4} (\text{Tr}[\Phi^\dagger \Phi])^2 \\ & + \bar{Q}_L \gamma_\mu \mathcal{D}_\mu Q_L + \bar{Q}_R \gamma_\mu \mathcal{D}_\mu Q_R + \frac{b^2}{2} \rho (\bar{Q}_L \overleftarrow{\mathcal{D}}_\mu \Phi \mathcal{D}_\mu Q_R + h.c.) \\ & + \eta (\bar{Q}_L \Phi Q_R + h.c.), \quad \Phi \equiv \varphi_0 \mathbb{1} + i\tau_i \varphi_i \end{aligned}$$

- "Wilson-like" $\propto \rho$ (naively irrelevant)
- UV cutoff $\sim b^{-1}$
- Fermionic chiral transformations $\tilde{\chi}$ are not a symmetry if $(\rho, \eta) \neq (0, 0)$

$$\begin{aligned}
\mathcal{L}_{\text{toy}}(Q, A, \Phi) &= \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a + \frac{1}{2} \text{Tr} [\partial_\mu \Phi^\dagger \partial_\mu \Phi] + \frac{\mu_0^2}{2} \text{Tr} [\Phi^\dagger \Phi] + \frac{\lambda_0}{4} (\text{Tr} [\Phi^\dagger \Phi])^2 \\
&+ \bar{Q}_L \gamma_\mu \mathcal{D}_\mu Q_L + \bar{Q}_R \gamma_\mu \mathcal{D}_\mu Q_R + \frac{b^2}{2} \rho (\bar{Q}_L \overleftarrow{\mathcal{D}}_\mu \Phi \mathcal{D}_\mu Q_R + h.c.) \\
&+ \eta (\bar{Q}_L \Phi Q_R + h.c.), \quad \Phi \equiv [\varphi | -i\tau^2 \varphi^*]
\end{aligned}$$

- Symmetries & power counting (in suitable UV-regul.) \implies Renormalizability
- Invariant under χ (global) $SU(2)_L \times SU(2)_R$ transformations
 - $\chi_{L,R} : \tilde{\chi}_L \otimes (\Phi \rightarrow \Omega_L \Phi)$ and/or $\tilde{\chi}_R \otimes (\Phi \rightarrow \Phi \Omega_R^\dagger)$
 - $\tilde{\chi}_{L,R} : \begin{cases} Q_{L,R} \rightarrow \Omega_{L,R} Q_{L,R} & \Omega_{L,R} \in SU(2)_{L,R} \\ \bar{Q}_{L,R} \rightarrow \bar{Q}_{L,R} \Omega_{L,R}^\dagger \end{cases}$
- Not invariant under purely fermionic transformations $\tilde{\chi}$
- χ invariance forbids $\frac{1}{b} \bar{Q} Q$ terms and softens power like U.V. divergences

- Purely fermionic $\tilde{\chi}$ transformations yield bare Schwinger Dyson Eq.s (SDEs)

$$\partial_\mu \langle \tilde{J}_\mu^{L,i}(x) \hat{O}(0) \rangle = \langle \tilde{\Delta}_L^i \hat{O}(0) \rangle \delta(x) - \eta \langle O_{Yuk}^{L,i}(x) \hat{O}(0) \rangle - b^2 \langle O_{Wil}^{L,i}(x) \hat{O}(0) \rangle$$

$$\tilde{J}_\mu^{L,i} = \bar{Q}_L \gamma_\mu \frac{\tau^i}{2} Q_L - \frac{b^2}{2} \rho \left(\bar{Q}_L \frac{\tau^i}{2} \Phi \mathcal{D}_\mu Q_R - \bar{Q}_R \overleftarrow{\mathcal{D}}_\mu \Phi^\dagger \frac{\tau^i}{2} Q_L \right)$$

$$O_{Yuk}^{L,i} = \left[\bar{Q}_L \frac{\tau^i}{2} \Phi Q_R - \text{h.c.} \right] \quad O_{Wil}^{L,i} = \frac{\rho}{2} \left[\bar{Q}_L \overleftarrow{\mathcal{D}}_\mu \frac{\tau^i}{2} \Phi \mathcal{D}_\mu Q_R - \text{h.c.} \right]$$

- Mixing of $O_{Wil}^{L,i}$ under renormalization

$$b^2 O_{Wil}^{L,i} = (Z_{\delta J} - 1) \partial_\mu \tilde{J}_\mu^{L,i} - \bar{\eta}(\eta; g_s^2, \rho, \lambda_0) O_{Yuk}^{L,i} + \dots + O(b^2)$$

- Renormalized SDEs read

$$Z_{\partial \tilde{J}} \partial_\mu \langle \tilde{J}_\mu^{L,i}(x) \hat{O}(0) \rangle = \langle \tilde{\Delta}_L^i \hat{O}(0) \rangle \delta(x) - (\eta - \bar{\eta}(\eta)) \langle O_{Yuk}^{L,i}(x) \hat{O}(0) \rangle + \dots + O(b^2)$$

where the ellipses (\dots) stand for possible NP mixing contributions

- At the critical $\eta_{cr}(g_s^2, \rho, \lambda_0)$ s.t. $\eta_{cr} = \bar{\eta}(\eta_{cr}; g_s^2, \rho, \lambda_0)$ the SDEs become WTIs

$$Z_{\partial j} \partial_\mu \langle \tilde{J}_\mu^{L,i}(x) \hat{O}(0) \rangle_{\eta_{cr}} = \langle \tilde{\Delta}_L^i \hat{O}(0) \rangle_{\eta_{cr}} \delta(x) + \dots + O(b^2)$$

- In Wigner phase ($\langle \Phi \rangle = 0$) the Wilson-like term is uneffective for $\tilde{\chi}^{SSB}$

$$Z_{\partial j} \partial_\mu \langle \tilde{J}_\mu^{L,i}(x) \hat{O}(0) \rangle_{\eta_{cr}} = \langle \tilde{\Delta}_L^i \hat{O}(0) \rangle_{\eta_{cr}} \delta(x) + O(b^2)$$

- In Nambu-Goldstone ($\langle \Phi \rangle = v \mathbb{1}_{2 \times 2}$) expect (conjecture)

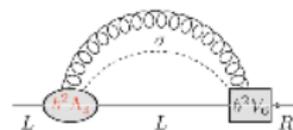
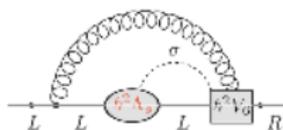
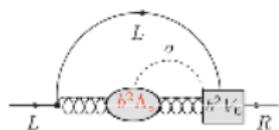
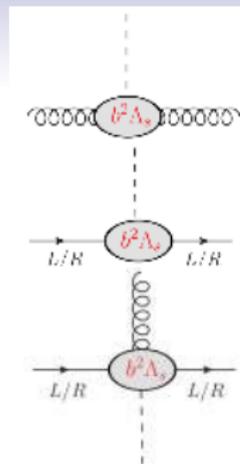
$$Z_{\partial j} \partial_\mu \langle \tilde{J}_\mu^{L,i}(x) \hat{O}(0) \rangle_{\eta_{cr}} = \langle \tilde{\Delta}_L^i \hat{O}(0) \rangle_{\eta_{cr}} \delta(x) + \langle C_1 \Lambda_s [\bar{Q}_L \frac{\tau^i}{2} U Q_R + hc] \hat{O}(0) \rangle + O(b^2)$$

- The term $\propto C_1 \Lambda_s$ can exist only in the NG phase where

$$U = \frac{\Phi}{\sqrt{\Phi^\dagger \Phi}} = \frac{(v + \sigma + i \vec{\tau} \vec{\varphi})}{\sqrt{(v + \sigma)^2 + \vec{\varphi} \vec{\varphi}}} = \mathbb{1} + i \frac{\vec{\tau} \vec{\varphi}}{v} + \dots$$

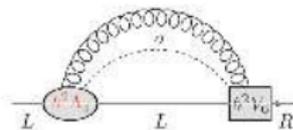
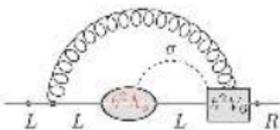
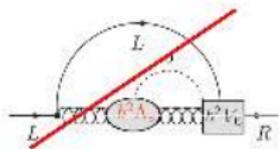
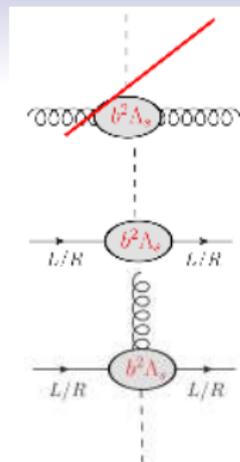
$$\text{In } \Gamma_{loc}^{NG} \text{ NP term } C_1 \Lambda_s [\bar{Q}_L U Q_R + hc] \supset C_1 \Lambda_s \bar{Q} Q_R \left\{ \begin{array}{l} \text{Natural mass} \\ \neq \text{Yukawa term} \\ C_1 = O(\alpha_s^2) \rightarrow \text{Hierarchy} \end{array} \right.$$

- Intuitive idea of the NP mass generation mechanism
 $O(b^2)$ NP corrections to ($\tilde{\chi}$ -preserving) effective vertices combined in loop "diagrams" with $O(b^2)$ ($\tilde{\chi}$ -breaking) vertices from the Wilson-like term



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- b^{-4} loop divergency $\implies O(b^0)C_1\Lambda_s$ mass term
- Phenomenon occurring even in the **quenched fermion** approximation

Choose a cheap lattice regularization of $\int d^4x \mathcal{L}_{\text{toy}}$

- First NP study of a theory with gauge, $\Psi = \begin{pmatrix} u \\ d \end{pmatrix}$ & $(\varphi_0, \vec{\varphi})$

- "Naive" fermions (good for quenched approximation only)

$$\mathcal{S}_{\text{lat}} = b^4 \sum_x \left\{ \mathcal{L}_{\text{kin}}^{\text{YM}}[U] + \mathcal{L}_{\text{kin}}^{\text{sca}}(\Phi) + \mathcal{V}(\Phi) + \sum_g \bar{\Psi}_g D_{\text{lat}}[U, \Phi] \Psi_g \right\}$$

$\mathcal{L}_{\text{kin}}^{\text{YM}}[U]$: SU(3) plaquette action

$$\mathcal{L}_{\text{kin}}^{\text{sca}}(\Phi) + \mathcal{V}(\Phi) = \frac{1}{2} \text{tr} [\Phi^\dagger (-\partial_\mu^* \partial_\mu) \Phi] + \frac{\mu_0^2}{2} \text{tr} [\Phi^\dagger \Phi] + \frac{\lambda_0}{4} (\text{tr} [\Phi^\dagger \Phi])^2$$

where $\Phi = \varphi_0 \mathbb{1} + i\varphi_j \tau^j$ and $F(x) \equiv [\varphi_0 \mathbb{1} + i\gamma_5 \tau^j \varphi_j](x)$

$$(D_{\text{lat}}[U, \Phi] \Psi)(x) = \gamma_\mu \tilde{\nabla}_\mu \Psi(x) + \eta F(x) \Psi(x) - b^2 \rho \frac{1}{2} F(x) \tilde{\nabla}_\mu \tilde{\nabla}_\mu \Psi(x) + \\ - b^2 \rho \frac{1}{4} \left[(\partial_\mu F)(x) U_\mu(x) \tilde{\nabla}_\mu \Psi(x + \hat{\mu}) + (\partial_\mu^* F)(x) U_\mu^\dagger(x - \hat{\mu}) \tilde{\nabla}_\mu \Psi(x - \hat{\mu}) \right]$$

- Yukawa ($d = 4$) term $\propto \eta$, Wilson-like ($d = 6$) term $\propto \rho$
- Unquenched studies will require overlap, DW or staggered fermions
- Extension to two generations of fermions $\bar{\Psi}_\ell D_{\text{latt}} \Psi_\ell + \bar{\Psi}_h D_{\text{latt}} \Psi_h$ in order to have valence correlators involving no fermionic disconnected diagrams

- Using staggered formalism to analyze naive valence fermions
- $\Psi(x)$ contain 4 replicas $B = 1, \dots, 4$
 $\Psi(x) = \mathcal{A}_x \chi(x)$, $\mathcal{A}_x = \gamma_1^{x_1} \gamma_2^{x_2} \gamma_2^{x_2} \gamma_2^{x_2}$, Spin diagonalization of S_{latt} in χ^B basis
- $\chi(x)$ contain 4 tastes $a=1, \dots, 4$
 $q_{\alpha,a}^B(y) = \frac{1}{8} \sum_{\xi} \bar{U}(2y, 2y + \xi) [\Gamma_{\xi}]_{\alpha,a} (1 - b \sum_{\mu} \xi_{\mu} \tilde{\nabla}_{\mu}) \chi^B(2y + \xi)$,
 $q_{\alpha,a}^B(y)$ taste basis, $x_{\mu} = 2y_{\mu} + \xi_{\mu}$, $\xi_{\mu} = 0, 1$
- Flavour content: $\underbrace{(4 \text{ replicas:} B) \times (4 \text{ tastes:} a)}_{16 \text{ doublers}} \times (2 \text{ isospin}) \times \text{generations}$
- Following Kluberg-Stern et al. ('83), ..., Sharpe et al. ('93), Luo ('96) and adding scalars we get the small b expansion of S_{lat}^{fer} on smooth U, Φ configuration

$$S_{lat}^{fer} = \sum_{y,B} \bar{q}^B(y) \left\{ \sum_{\mu} (\gamma_{\mu} \otimes \mathbf{1}) D_{\mu} + (\eta - \bar{\eta}) \mathcal{F}(y) \right\} q^B(y) + O(b^2)$$

$$\mathcal{F}(y) = \varphi_0(2y)(\mathbf{1} \otimes \mathbf{1}) + S^B i \tau^i \varphi_i(2y)(\gamma_5 \otimes t_5), \quad S^A = \pm 1, \text{ taste matrices } t_{\mu} = \gamma_{\mu}^*$$

- Quark bilinear in Ψ basis that have the classical continuum limit in q^B basis
- Point split vector current

$$\tilde{J}_\mu^{V^i}(x) = \bar{\Psi}(x - \hat{\mu})\gamma_\mu \frac{\tau^i}{2} U_\mu(x - \hat{\mu})\Psi(x) + \bar{\Psi}(x)\gamma_\mu \frac{\tau^i}{2} U_\mu^\dagger(x - \hat{\mu})\Psi(x - \hat{\mu})$$

$$\sum_\xi \tilde{J}_\mu^{V^i}(2y + \xi) = \sum_{B=1}^4 \bar{q}^B(y)(\gamma_\mu \otimes \mathbf{1}) \frac{\tau^i}{2} q^B(y) + O(b^2)$$

- Point split axial current

$$\tilde{J}_\mu^{A^i}(x) = \bar{\Psi}(x - \hat{\mu})\gamma_\mu \gamma_5 \frac{\tau^i}{2} U_\mu(x - \hat{\mu})\Psi(x) + \bar{\Psi}(x)\gamma_\mu \gamma_5 \frac{\tau^i}{2} U_\mu^\dagger(x - \hat{\mu})\Psi(x - \hat{\mu})$$

$$\sum_\xi \tilde{J}_\mu^{A^i}(2y + \xi) = \sum_{B=1}^4 \bar{q}^B(y)(\gamma_\mu \gamma_5 \otimes t_5) \frac{\tau^i}{2} q^B(y) + O(b^2)$$

- Correlators with generation off-diagonal operator \Rightarrow no disconnected diagrams

$$\text{e.g. } \langle \bar{\Psi}_\ell(x)\Gamma_\tau\Psi_h(x)\bar{\Psi}_h(y)\Gamma_\tau\Psi_\ell(y) \rangle \quad \ell = (u, d) \quad h = (c, s)$$

- Loop effects do not generate $d \leq 4$ operator besides $F_{\mu\nu}F_{\mu\nu}$, $\partial_\mu\Phi^\dagger\partial_\mu\Phi$, $q^B(\gamma_\mu \otimes \mathbf{1})\tilde{\nabla}q^B$, $\Phi^\dagger\Phi$, $(\Phi^\dagger\Phi)^2$, $\eta\bar{q}^B(y)\mathcal{F}^B(y)q^B(y)$ (all in S_{lat})
- Argument: In S_{latt} there are only $\tilde{\nabla}_\mu$ acting on fermions \implies
Spectrum Doubling Symmetry :
 $\Psi \rightarrow e^{-ix \cdot \pi_H} M_H \Psi$ $\bar{\Psi} \rightarrow \bar{\Psi} M_H^\dagger e^{ix \cdot \pi_H}$, $H = \{\mu_1, \dots, \mu_h\}$ ordered,
16 vectors π_H ($\pi_{H,\mu} = \pi$ if $\mu \in H$) with $M_H = (i\gamma_5\gamma_{\mu_1}) \dots (i\gamma_5\gamma_{\mu_h})$
- It is a symmetry of S_{latt} , thus also of the $\Gamma_{lat}[U, \Phi, \Psi]$. So the latter can only have terms with symmetric covariant derivatives $\tilde{\nabla}_\mu$ acting on Ψ .
Close to the continuum limit among the local terms of Γ_{lat} only those with no or one $\tilde{\nabla}_\mu$ fermionic derivative are relevant
- At $\eta = \eta_{cr}$ $\tilde{\chi}$ gets simultaneously restored for all tastes up to cutoff effects

- Quenched $(U, \Phi) \implies$ exceptional configurations: at large $|\eta|$ and $|\rho|$ enhanced by Φ fluctuations
- Add twisted mass term: $S_{lat}^{toy+tm} = S_{lat} + i\mu b^4 \sum_x \bar{\Psi} \gamma_5 \tau_3 \Psi$
control over exceptional confs. at the price of harmless breaking of $\chi_{L,R}$ (and $\tilde{\chi}_{L,R}$ when restored)
- A convenient (generation off diagonal) axial $\tilde{\chi}$ SDE

$$Z_A \partial_\mu \tilde{J}_\mu^{A\pm} = 2(\eta - \eta_{cr}) \tilde{D}^{P\pm} + \delta_{ph,NG} \mathbf{C}_1 \Lambda_s \mathcal{P}^\pm$$

$$\tilde{J}_\mu^{A\pm} = \bar{\Psi} \gamma_\mu \gamma_5 \frac{\tau^\pm}{2} \Psi(x) \Big|_{\text{point split}}$$

$$\tilde{D}^{P\pm} = \bar{\Psi}_L \left\{ \Phi, \frac{\tau^\pm}{2} \right\} \Psi_R - h.c. \quad \mathcal{P}^\pm = \bar{\Psi}_L \left\{ \mathcal{U}, \frac{\tau^\pm}{2} \right\} \Psi_R - h.c.$$

- ... at $\eta = \eta_{cr}$ in NG phase takes the form of a $\tilde{\chi}$ WTI with NP breaking

$$Z_A \langle 0 | \partial_\mu \tilde{J}_\mu^{A\pm} | M_{PS\pm} \rangle = \mathbf{C}_1 \Lambda_s \langle 0 | \mathcal{P}^\pm | M_{PS\pm} \rangle$$

$$Z_A \langle 0 | \partial_\mu \tilde{J}_\mu^{A^\pm} | M_{PS^\pm} \rangle = C_1 \Lambda_s \langle 0 | P^\pm | M_{PS^\pm} \rangle$$

- $\mathcal{U} = \mathbb{1} + i \frac{\vec{\tau} \cdot \vec{\varphi}}{v} + O(\frac{\sigma^2}{v^2}, \frac{\pi^2}{v^2})$
- $\mathcal{P}^\pm = \bar{\Psi}_L \left\{ \mathcal{U}, \frac{\tau^\pm}{2} \right\} \Psi_R - h.c. = \bar{\Psi}_L \left\{ \mathbb{1} + i \frac{\vec{\tau} \cdot \vec{\varphi}}{v} + \dots, \frac{\tau^\pm}{2} \right\} \Psi_R - h.c. = P^\pm + \dots$
- χ invariance $\implies P^\pm$ renormalizes as $P^\pm = \bar{\Psi} \gamma_5 T^\pm \Psi$
- At $\eta = \eta_{cr}$ a renormalized measure of the NP $\tilde{\chi}$ breaking is given by

$$m_{ren}^{WTI} \equiv \frac{Z_A}{Z_P} \frac{\langle 0 | \partial_\mu \tilde{J}_\mu^{A^\pm} | M_{PS^\pm} \rangle}{\langle 0 | P^\pm | M_{PS^\pm} \rangle} = C_{1,ren} \Lambda_s (1 + \dots) \quad C_{1,ren} = \frac{C_1}{Z_P}$$

- Generation off diagonal operators $P_{h,\ell}^\pm(x) = \bar{\Psi}_h(x)\gamma_5\tau^\pm\Psi_\ell(x)$
 $\tilde{J}_\mu^{\ell,h\pm}(x) = \bar{\Psi}_\ell(x - \hat{\mu})\gamma_\mu\gamma_5\frac{\tau^i}{2}U_\mu(x - \hat{\mu})\Psi_h(x) + \bar{\Psi}_\ell(x)\gamma_\mu\gamma_5\frac{\tau^i}{2}U_\mu^\dagger(x - \hat{\mu})\Psi_h(x - \hat{\mu})$
 \implies multiplicative renormalization of $\tilde{J}_\mu^{\ell,h\pm}$ and $P_{\ell,h}^\pm$
- at $\eta = \eta_{cr}$ Z_A does not have anomalous dimension $\mu\frac{\partial Z_A}{\partial\mu} = O(b^2)$
- Suitable renormalization scheme to compute the ratio Z_A/Z_P

$$\frac{\sum_{\bar{y}} \langle \tilde{J}_\mu^{\ell,h\pm}(0) P_{h,\ell}^\pm(y_0, \bar{y}) \rangle Z_A}{\sum_{\bar{y}} \langle P_{\ell,h}^\pm(0) P_{h,\ell}^\pm(y_0, \bar{y}) \rangle Z_P} = \text{tree level} \quad \text{at} \quad \eta = \eta_{cr}$$

- Renormalization scale $\mu = \frac{1}{v_0}$ e.g. $y_0 = 2r_0 \simeq 1\text{fm}$ (if scale taken from QCD)
- Error on Z_A/Z_P is $\sim 3\%$ with small statistics (30 gauges x 8 scalars)

- Quenched lattice study: independent M.C. update of U and Φ

$$Z = \int \mathcal{D}\Phi \mathcal{D}U \mathcal{D}\Psi \mathcal{D}\bar{\Psi} e^{S[U] + S[\Phi]} \det(D_{\text{latt}}[U, \Phi]) = Z[\Phi]Z[U]$$

- Action parameter renormalization can be carried out separately for gauge and scalars:
 - In the fermionic sector effective Yukawa coupling vanishes at $\eta = \eta_{cr}$
 - Gauge coupling renormalized keeping $r_0 \sim 0.5 fm$ fixed
M. Guagnelli, R. Sommer and H. Wittig (1998) S. Necco, R. Sommer (2001)
 - Scalars parameters μ_0, λ_0 fixed by the renormalization condition

$$m_\sigma^2 r_0^2 = const. \quad \lambda_R = \frac{m_\sigma^2}{2v_R^2} = const.$$

- Wilson-like coupling ρ : free parameter as long as we are only interested to see if the mechanism exists, relevant for the magnitude of the NP mass (if any)

- For numerical result on the model see talk [P. Dimopoulos](#): *“Testing a non-perturbative mechanism for elementary fermion mass generation: numerical result”*

Thank you for your attention