

Improvement of heavy-heavy current for calculation of  
 $\bar{B} \rightarrow D^{(*)} \ell \bar{\nu}$  form factors using Oktay-Kronfeld  
heavy-quark

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## $\bar{B} \rightarrow D^* \ell \bar{\nu}$ semi-leptonic decay and $|V_{cb}|$

- Decay rate for  $\bar{B} \rightarrow D^* \ell \bar{\nu}$  are proportional to  $|V_{cb}|^2$ .

$$\frac{d\Gamma}{dw}(\bar{B} \rightarrow D^{(*)} \ell \bar{\nu}) = \frac{G_F^2 M_B^3 |V_{cb}|^2}{4\pi^3} |\eta_{EW}|^2 (w^2 - 1)^{1/2} (w + 1)^2 r^3 (1 - r)^2 \\ \times \left[ 1 + \frac{4w}{w + 1} \frac{1 - 2wr + r^2}{(1 - r)^2} \right] |\mathcal{F}_{D^*}(w)|^2$$

where  $w = v_B \cdot v_{D^*}$  and  $r = \frac{M_{D^*}}{M_B}$ .

- Determination of  $|V_{cb}|^2$  requires calculation of semi-leptonic form factor  $|\mathcal{F}_{D^*}(w)|^2$  using lattice QCD simulation.

## Current Status of exclusive $|V_{cb}|$

- $\bar{B} \rightarrow D^* \ell \bar{\nu}$  at zero recoil

$$|V_{cb}| = 38.71 \pm 0.47(\text{exp}) \pm 0.53(\text{theory}) \times 10^{-3}$$

(FNAL/MILC + HFAG)  
from arXiv:1612.07233 (2016)

- $\bar{B} \rightarrow D \ell \nu$  at nonzero recoil

$$|V_{cb}| = 40.49 \pm 0.97 \times 10^{-3}$$

(FNAL/MILC + HPQCD + BABAR + Belle )  
from PRD94, 094008 (2016)

## Error of $V_{cb}$ from lattice calculation

**Table:** Error budgets of FNAL/MILC form factor calculation ( $h_{A_1}$  at zero recoil)  
[PRD89, 114504 (2014)] and the expected error for the OK action

uncertainty	$h_{A_1}(w = 1)$
statistics	0.4%
matching	0.4%
$\chi^{PT}$	0.5%
$gD^*D_\pi$	0.3%
discretization	(1.0%) <sub>F</sub> → (0.2%) <sub>OK</sub>
other	0.1%
total	(1.4%) <sub>F</sub> → (0.8%) <sub>OK</sub>

- F : Femilab action
- OK : OK action

# Improvement of heavy quark on lattice

- Masses of  $b$ -quark and  $c$ -quark are comparable with inverse of lattice spacing  $a^{-1}$ . (when  $a = 0.05\text{fm} \sim 0.1\text{fm}$ ,  $m_b a \simeq 1.1 \sim 2.2$  and  $m_c a \simeq 0.33 \sim 0.66$ .)
- In this case, any expansion over  $m_q a$  in Symanzik improvement program fails.
- We need special care for heavy quark. Based on heavy quark symmetry, fermilab formalism gives a systematic way to control the heavy quark discretization error.

# Improvement of heavy quark on lattice

Fermilab method : Wilson fermion + chromomagnetic term +  
chromoelectric term

- Lift the space-time axis interchange symmetry
- Do not allow time derivative to fermion (anti-fermion) for higher dimension operators.
- The coefficients in action are tuned as the explicit function of bare mass, and the coupling constants.
- Valid for arbitrary quark mass.

# Fermilab method

- Dimension-four interactions. [PRD55, 3933]

$$\begin{aligned} S_0 &= m_0 a^4 \sum_x \bar{\psi}(x) \psi(x) \\ &+ a^4 \sum_x \bar{\psi}(x) \frac{1}{2} (1 + \gamma_4) D_4^- \psi(x) - a^4 \sum_x \bar{\psi}(x) \frac{1}{2} (1 - \gamma_4) D_4^+ \psi(x) \\ &+ \zeta a^4 \sum_x \bar{\psi}(x) \vec{\gamma} \cdot \vec{D} \psi(x) - \frac{1}{2} r_s \zeta a^5 \sum_x \bar{\psi}(x) \Delta^{(3)} \psi(x) \end{aligned}$$

where  $\zeta = \kappa_s / \kappa_t$ , and the covariant derivatives are

$$\begin{aligned} D_4^+ \psi &= a^{-1} (T_4 - 1) \psi, & D_4^- \psi &= a^{-1} (1 - T_{-4}) \psi, \\ D_i \psi &= (2a)^{-1} (T_i - T_{-i}) \psi, & \Delta^{(3)} \psi &= \sum_i a^{-2} (T_i + T_{-i} - 2) \psi, \end{aligned}$$

with covariant translation

$$T_{\pm\mu} \psi(x) = U_{\pm\mu}(x) \psi(x \pm a\mu),$$

# Fermilab method

- Dimension-five interactions.

$$S_B = -\frac{1}{2}c_B\zeta a^5 \sum_x \bar{\psi}(x) i\vec{\Sigma} \cdot \vec{B}\psi(x)$$

$$S_E = -\frac{1}{2}c_E\zeta a^5 \sum_x \bar{\psi}(x) \vec{\alpha} \cdot \vec{E}\psi(x)$$

- $B_i = \frac{1}{2}\epsilon_{ijk}F_{jk}$  and  $E_i = F_{0i}$ , where  $F_{\mu\nu}$  is the field strength tensor given as,

$$F_{\mu\nu}(x) = \frac{1}{8a^2} \sum_{\bar{\mu}=\pm\mu, \bar{\nu}=\pm\nu} \text{sign}(\bar{\mu}\bar{\nu}) T_{\bar{\mu}} T_{\bar{\nu}} T_{-\bar{\mu}} T_{-\bar{\nu}} - \text{h.c.}$$

- $c_E = c_B$  for the Fermilab action.
- $c_E \neq c_B$  for the OK action.

## OK action

Add dimension six and seven bilinears to the Fermilab action. [PRD78, 014504]

- Terms without time derivative to the fermion or anti-fermion field are allowed.
- On-shell improvement for dimension six and seven bilinears at tree-level.
- Estimate truncation error using HQET and NRQCD power counting. To control discretization effects below 1 percent (with lattice spacing  $a = 0.045\text{fm} \sim 0.125\text{fm}$ ), one-loop improvement of  $c_B$  is required.

## OK action

- $S_{\text{new}} = S_6 + S_7$

$$S_6 = c_1 a^6 \sum_x \bar{\psi}(x) \sum_i \gamma_i D_i \Delta_i \psi(x) + c_2 a^6 \sum_x \bar{\psi}(x) \{ \vec{\gamma} \cdot \vec{D}, \Delta^{(3)} \} \psi(x) \\ + c_3 a^6 \sum_x \bar{\psi}(x) \{ \vec{\gamma} \cdot \vec{D}, i \vec{\Sigma} \cdot \vec{B} \} \psi(x) + c_{EE} a^6 \sum_x \bar{\psi}(x) \{ \gamma_4 D_4, \vec{\alpha} \cdot \vec{E} \} \psi(x)$$

$$S_7 = a^7 \sum_x \bar{\psi}(x) \sum_i \left[ c_4 \Delta_i^2 \psi(x) + c_5 \sum_{j \neq i} \{ i \Sigma_i B_i, \Delta_j \} \right] \psi(x)$$

where

$$D_\mu \psi = (2a)^{-1} (T_\mu - T_{-\mu}) \psi, \quad \Delta_i \psi = a^{-2} (T_i + T_{-i} - 2) \psi,$$

Coefficients  $c_i$  are fixed by matching dispersion relation, one-gluon vertex, compton scattering amplitude.

## Current improvement up to $\mathcal{O}(\lambda)$

- Fermilab formalism and the OK action give a systematic way to control the heavy quark discretization error up to  $\lambda^3$  order.
- Our goal is to reduce the heavy quark discretization error of  $\bar{B} \rightarrow D^{(*)} \ell \bar{\nu}$  semi-leptonic form factors at the level of  $\mathcal{O}(\lambda^3)$ , where  $\lambda \sim \Lambda_{QCD}/m_q, a\Lambda_{QCD}$ .
- We must improve currents up to the  $\lambda^3$  order  $\rightarrow$  in the same level as the OK action.

## Current improvement up to $\mathcal{O}(\lambda)$

- For  $\mathcal{O}(\lambda)$  improvement in HQET, introducing improved quark field suffices. [PRD55, 3933]

$$J_\Gamma = \bar{\Psi}_{cI} \Gamma \Psi_{bI},$$

where  $\Gamma$  indicates the Dirac structure. And the improved quark field is defined by,

$$\Psi_{fI} = e^{M_{1f} a/2} (1 + a d_{1f} \vec{\gamma} \cdot \vec{D}) \psi_f, \quad f = b, c$$

where  $M_{1f} = \log(1 + m_{0f} a)/a$  is tree-level rest mass of  $f$  quark.

- The improvement parameter  $d_1$  is determined by tree-level matching of two-quark matrix elements of flavor-changing currents.

## Current improvement up to $\mathcal{O}(\lambda^3)$

- For  $\mathcal{O}(\lambda^3)$  improvement, we introduce improved field ( $a = 1$ ) [arxiv:1411.4227],

$$\begin{aligned}\Psi_I(x) = & e^{M_1/2} \left[ 1 + d_1 \vec{\gamma} \cdot \vec{D} + \frac{1}{2} d_2 \Delta^{(3)} + \frac{1}{2} i d_B \vec{\Sigma} \cdot \vec{B} + \frac{1}{2} d_E \vec{\alpha} \cdot \vec{E} \right. \\ & + d_{r_E} \{ \vec{\gamma} \cdot \vec{D}, \vec{\alpha} \cdot \vec{E} \} + d_{z_E} \gamma_4 (\vec{D} \cdot \vec{E} - \vec{E} \cdot \vec{D}) \\ & + \frac{1}{6} d_3 \gamma_i D_i \Delta_i + \frac{1}{2} d_4 \{ \vec{\gamma} \cdot \vec{D}, \Delta^{(3)} \} + d_5 \{ \vec{\gamma} \cdot \vec{D}, i \vec{\Sigma} \cdot \vec{B} \} \\ & \left. + d_{EE} \{ \gamma_4 D_4, \vec{\alpha} \cdot \vec{E} \} + d_{z_3} \vec{\gamma} \cdot (\vec{D} \times \vec{B} + \vec{B} \times \vec{D}) \right] \psi(x)\end{aligned}$$

- By determining improved parameters  $d_i$  from matching condition, we obtain improved current.

## Matching condition

- Matching two-quark matrix elements : straightforward extension of calculation in [PRD55, 3933]. At tree-level, it gives constraint only on  $d_1$ ,  $d_2$ ,  $d_3$ , and  $d_4$  [arxiv:1411.4227].
- To obtain  $d_i$  other than  $d_{1-4}$ , we must use matrix element with gluon exchange vertex. → We use four-quark matrix element for matching.

## Matching four-quark matrix element

- We introduce following four-quark matrix element [arxiv:1612.09081],

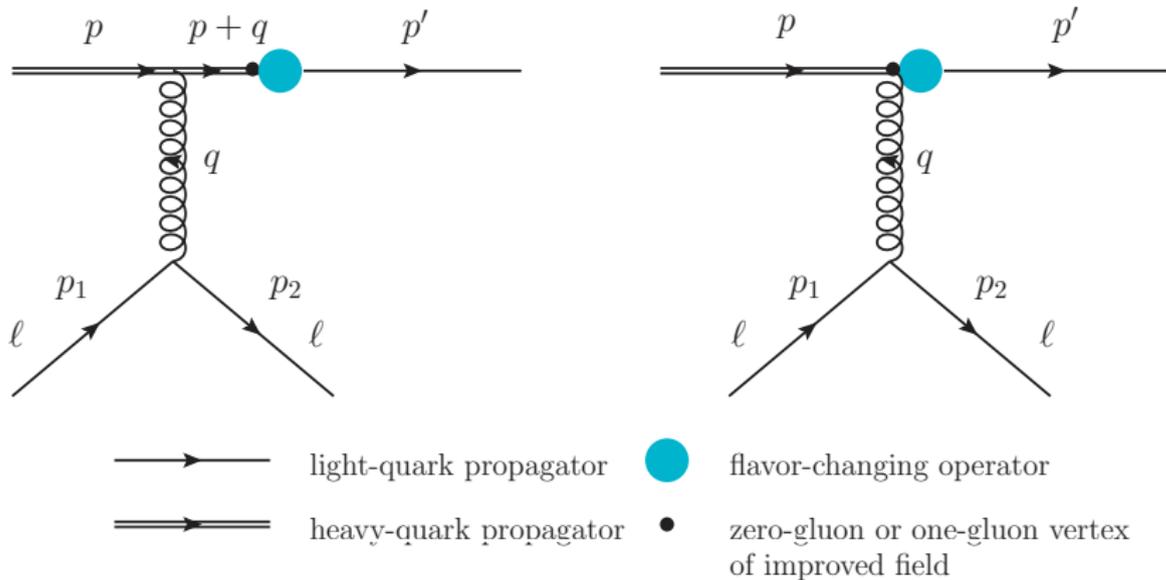
$$\langle \ell(\eta_2, p_2) u(\eta', p') | \bar{\psi}_u \Gamma \Psi_b | b(\eta, p) \ell(\eta_1, p_1) \rangle_{\text{lat}}$$

where  $\ell$  represents a light spectator quark,  $u$  and  $b$  indicate up quark and bottom quark, respectively.

- We consider heavy-light current instead of heavy-heavy current to simplify the calculation.

## Matching four-quark matrix element

- The lattice Feynman diagrams with gluon exchange at the heavy-quark line are,



## Matching four-quark matrix element

- The matching equation for the one-gluon exchange from b-quark line gives constraint on the improvement parameter  $d_i$ ,

$$\begin{aligned} n_\mu(q) & \left[ R_b^{(0)}(p+q) S^{\text{lat}}(p+q) (-gt^a) \Lambda_\mu(p+q, p) \right. \\ & \left. + (-gt^a) R_{b\mu}^{(1)}(p+q, p) \right] \mathcal{N}_b(\vec{p}) u_b^{\text{lat}}(\eta, \vec{p}) \\ & = \frac{m_b - i\gamma \cdot (p+q)}{m_b^2 + (p+q)^2} \gamma_\mu \sqrt{\frac{m_b}{E_b}} u_b(\eta, \vec{p}). \end{aligned}$$

$\mathcal{N}_b$  : lattice spinor normalization factor.

$n_\mu(q)$  : wave-function factor for gluon.

$R_b^{(0)}$ ,  $R_{b\mu}^{(1)}$  : zero-gluon and one-gluon vertex for improved field which contains improvement parameters  $d_i$ .

$\Lambda_\mu$  : one-gluon vertex of OK-action.

## Matching four-quark matrix element

- Expand the matching equation over  $\vec{p}a$ ,  $qa$ ,  $\vec{p}/m_b$ , and  $q/m_b$ .
- For each order in  $\vec{p}$  and  $q$ , it gives constraints on improvement parameter  $d_i$ .
- The constraints are from temporal ( $\mu = 4$ ), and spatial component ( $\mu = i$ ) of the amplitudes.
- The constraints should be consistent.

# Results

- We obtain all the eleven improvement parameters  $d_i$ , and two of them are zero. [arxiv:1612.09081]

$$d_{zE} = d_{z3} = 0,$$

- The results from matching four-quark matrix element are consistent with those from two-quark matrix element.
- However, there are remaining unresolved issues.

# Results

The results from four-quark matrix element matching are as follows, [arxiv:1612.09081]

$$\begin{aligned}d_B &= d_1^2 - \frac{r_s \zeta}{2(1+m_0)}, \\d_E &= \frac{1}{2m_b^2} - \frac{\zeta(1+m_0)(m_0^2 + 2m_0 + 2)}{[m_0(2+m_0)]^2} + \frac{\zeta(1+m_0)(1-c_E)}{m_0(2+m_0)}, \\d_{r_E} &= -\frac{1}{8m_b^3} + \frac{r_s \zeta}{24(1+m_0)} + \frac{\zeta c_{EE}(2+2m_0+m_0^2)}{2m_0(1+m_0)(2+m_0)} + \frac{\zeta^2 c_E(2+2m_0+m_0^2)}{[2m_0(2+m_0)]^2} \\&\quad + \frac{\zeta^2(12+24m_0+16m_0^2+4m_0^3+m_0^4)}{12m_0^3(2+m_0)^3} - d_1 \frac{\zeta(1+m_0)[2+m_0(2+m_0)c_E]}{2m_0^2(2+m_0)^2},\end{aligned}$$

# Results

$$d_{EE} = \frac{1 + m_0}{(m_0^2 + 2m_0 + 2)} \left[ -\frac{1}{4m_b^3} + \frac{\zeta(1 + m_0)(m_0^2 + 2m_0 + 2)}{[m_0(2 + m_0)]^3} \right. \\ \left. + \frac{\zeta c_E(1 + m_0)}{[m_0(2 + m_0)]^2} + \frac{(2 + 2m_0 + m_0^2)c_{EE}}{m_0(2 + m_0)} \right],$$
$$d_5 = -\frac{1}{16m_b^3} + \frac{\zeta c_B[(1 + m_0)(4 + 6m_0 + 3m_0^2)\zeta - m_0^2(2 + m_0)^2 d_1]}{8(1 + m_0)[m_0(2 + m_0)]^2} \\ + \frac{c_3(1 + m_0)}{m_0(2 + m_0)} + \frac{\zeta^2 c_E[d_1 m_0(2 + m_0) - \zeta(1 + m_0)]}{4[m_0(2 + m_0)]^2} \\ + \frac{-\zeta^2 d_1 + \zeta(1 + m_0) \left[ \frac{(2 + 2m_0 + m_0^2)\zeta^2}{[m_0(2 + m_0)]^2} + d_B \right]}{4m_0(2 + m_0)}.$$

# Redundancy of operators

- Matching condition determines  $d_{r_E}$  uniquely, however, the same operator in the action belongs to redundant operator.
- The isospectral transformation which brings a change in  $d_{r_E}$  is,

$$\Psi_I(x) \rightarrow e^{J_{r_E}} \Psi_I(x), \quad J_{r_E} = a^3 \delta_{r_E} \{\boldsymbol{\gamma} \cdot \mathbf{D}, \boldsymbol{\alpha} \cdot \mathbf{E}\}. \quad (1)$$

Then,  $d_{r_E}$  changes to  $d_{r_E} + \delta_{r_E}$ .

- At the same time, the transformation yields a change along the following dimension six operator in the action,

$$\delta S = 2\delta_{r_E} a^6 (m_0 a) \bar{\psi}(x) \sum_x \{\boldsymbol{\gamma} \cdot \mathbf{D}, \boldsymbol{\alpha} \cdot \mathbf{E}\} \psi(x), \quad (2)$$

# Redundancy of operators

- However, the above transformation in the action is used to set the coefficient of operator to zero ( $r_E = 0$ ). Hence, there is no degree of freedom left to fix  $d_{r_E}$ .
- Therefore, unique determination of  $d_{r_E}$  is natural.

## Remaining issue I

- Several of our results ( $d_4$ ,  $d_{EE}$ ,  $d_{rE}$ , and  $d_5$ ) diverge as  $m_0 \rightarrow 0$ . For example  $d_4$  is, (set  $r_s = \zeta = 1$ ,  $m_b = M_2$ , in the limit of  $am_0 \rightarrow 0$ )

$$d_4 = \frac{1}{8} \frac{1}{am_0} - \frac{3}{32} - \frac{5}{32}(am_0) + \dots$$

- Superficially, this behavior appears to violate the principle that Fermilab formulation works both in the chiral limit and in the heavy quark limit simultaneously.
- However, the discretization effects in the matrix element vanish as  $a \rightarrow 0$  with fixed quark mass ( $m_0 \neq 0$ ), and everything is regular in this limit.
  - In the definition of improved field,  $d_4$ ,  $d_{EE}$ ,  $d_{rE}$ ,  $d_5$  are multiplied by  $a^3$ .
  - For fixed non-zero  $m_0$ , there is no divergence in the matrix element.

## Remaining issue II

- Although our results for  $d_1$ ,  $d_2$ , and  $d_B$  agree with those in [PRD55, 3933], our result for  $d_E$  differs. (set  $r_s = \zeta = 1$ , and  $m_b = M_2$ )

$$d_E(\text{SWME}) - d_E(\text{FNAL}) = -\frac{1}{2 + m_0},$$

- $d_E(\text{FNAL})$  is obtained for heavy-heavy system using NRQCD power counting.
- $d_E(\text{SWME})$  is obtained for heavy-light system using HQET power counting.
- This issue is under investigation.

## Remaining issue III

- We have not proved yet that the improved quark field is sufficient for the current improvement.
- The proof can be achieved through the HQET analysis.
- This issue is under investigation.